

EXHIBIT 6

January 19, 2001

Dear Irv,

This will confirm that your equity in the David Shapiro Nominee 2 account with Bernard L. Madoff is \$50,000.00. Below is information that will be included in Elaine's 2001 K1 form.

Date	Amount
4/17/01	2,141.07
7/18/01	1,462.69
10/18/01	1,024.66
1/18/02	1,578.84
	<hr/> 6,207.26
Bank Interest	1.70 ✓
Treasury-Bill Interest	1,004.25 ✓
Ordinary Dividends	282.76 ✓
Short Term Capital Gains	4,918.55 ✓
	<hr/> 6207.26

MCMDP_00010425

Irv Sonnenfeld		Investment to 1/1/02:		51,578.84 (Includes '01 4th 1/4 earnings)					
	<u>US Interest</u>	<u>Dividends</u>	<u>STCG</u>	<u>Total "Earnings" C/Y</u>	<u>Distribution</u>	<u>Add'l Invstmt</u>	<u>Net Distribution</u>	<u>Prev 4th 1/4</u>	<u>4th 1/4</u>
2002	422.13	320.68	5,049.63	5,792.44	6,508.86	0.00	6,508.86	1,578.84	862.42
2003	225.02	453.31	3,777.78	4,456.11	4,662.58	0.00	4,662.58	862.42	655.95
2004	305.14	650.87	3,146.66	4,102.67	3,925.92	(5,000.00)	8,925.92	655.95	832.70
2005	548.07	405.09	2,483.12	3,436.28	3,133.46	(5,000.00)	8,133.46	832.70	1,135.52
2006	482.15	664.08	3,269.91	4,416.14	4,605.95	0.00	4,605.95	1,135.52	945.71
2007	1,008.10	427.53	2,350.02	3,785.65	3,883.20	0.00	3,883.20	945.71	848.16
2008				2,733.99	3,582.15	0.00	3,582.15	848.16	
Total '02 to '08				28,723.28	30,302.12	41,578.84	(11,276.72)		

Dear Irv,

This will confirm that your equity in the David Shapiro Nominee 3 account with Bernard L. Madoff is \$50,000.00. Below is information that will be included in Elaine's 2002 K1 form.

Date	Amount
04/22/2002	542.46
07/18/2002	1,923.73
10/16/2002	2,463.83
01/21/2003	862.42
	5,792.44 ✓

Treasury-Bill Interest
Ordinary Dividends
Short Term Capital Gains

422.13 ✓
320.68 ✓
5,049.63 }

January 19, 2004

Dear Irv,

This will confirm that your equity in the David Shapiro Nominee 2 account with Bernard L. Madoff is \$50,000.00. Below is information that will appear on your 2003 K1 form.

Date	Amount
04/19/2003	979.34
07/17/2003	1,263.87
10/18/2003	1,556.95
01/19/2004	655.95
	4,456.11

Treasury-Bill Interest 225.02
Ordinary Dividends 453.31
Short Term Capital Gains 3,777.78

cc Anthony
Finazzo

Dear Irv,

January 19, 2001

This will confirm that your equity in the David Shapiro Nominee account with Bernard L. Madoff is \$50,000.00. Below is information that will appear on your 2000 K1 form.

Date	Amount
4/18/00	2,406.44
7/18/00	1,509.76
10/24/00	1,000.50
1/19/01	921.97

5,838.67

Treasury-Bill Interest
Ordinary Dividends
Short Term Capital Gains

1,457.89

222.93

4,157.85

5,838.67

January 29, 2003

Dear Irv,

This will confirm that your equity in the David Shapiro Nominee 3 account with Bernard L. Madoff is \$50,000.00. Below is information that will be included in Elaine's 2002 K1 form.

Date	Amount
04/22/2002	542.46
07/18/2002	1,923.73
10/16/2002	2,463.83
01/21/2003	862.42

5,792.44

Treasury-Bill Interest 422.13
Ordinary Dividends 320.68
Short Term Capital Gains 5,049.63

MCMDP_00010428

February 6, 2006

Dear Irv,

This will confirm that your equity in the David Shapiro Nominee 3 account with Bernard L. Madoff is \$40,000.00. Below is information that will appear on your 2005 K1 form.

Date	Amount
4/18/2005	759.13
7/18/2005	808.76
10/19/2005	732.87
1/19/2006	1,135.52

	3,436.28
Treasury-Bill Interest	548.07
Ordinary Dividends	405.09
Short Term Capital Gains	2,483.12

MCMDP_00010429

Irv Sonnenfeld									
Investment to 1/1/02: 51,578.84 (Includes '01 4th 1/4 earnings)									
	<u>US Interest</u>	<u>Dividends</u>	<u>STCG</u>	<u>Total "Earnings" C/Y</u>	<u>Distribution</u>	<u>Add'l Invstmt</u>	<u>Net Distribution</u>	<u>Prev 4th 1/4</u>	<u>4th 1/4</u>
2002	422.13	320.68	5,049.63	5,792.44	6,508.86	0.00	6,508.86	1,578.84	862.42
2003	225.02	453.31	3,777.78	4,456.11	4,662.58	0.00	4,662.58	862.42	655.95
2004	305.14	650.87	3,146.66	4,102.67	3,925.92	(5,000.00)	8,925.92	655.95	832.70
2005	548.07	405.09	2,483.12	3,436.28	3,133.46	(5,000.00)	8,133.46	832.70	1,135.52
2006	482.15	664.08	3,269.91	4,416.14	4,605.95	0.00	4,605.95	1,135.52	945.71
2007	1,008.10	427.53	2,350.02	3,785.65	3,883.20	0.00	3,883.20	945.71	848.16
2008				2,733.99	3,582.15	0.00	3,582.15	848.16	
Total '02 to '08				28,723.28	30,302.12	41,578.84	(11,276.72)		

651105

**Schedule K-1
(Form 1065)**

2005

Department of the Treasury
Internal Revenue Service

For calendar year 2005, or tax
year beginning _____, 2005
ending _____, 20__

**Partner's Share of Income, Deductions,
Credits, etc.** ▶ See instructions.

☐ Final K-1

☐ Amended K-1

OMB No. 1545-0099

Part I Information About the Partnership	
A Partnership's employer identification number	Redacted 3182
B Partnership's name, address, city, state, and ZIP code	David Shapiro Nominee 3 Redacted
C IRS Center where partnership filed return	CINCINNATI
D <input type="checkbox"/> Check if this is a publicly traded partnership (PTP)	
E <input type="checkbox"/> Tax shelter registration number, if any	
F <input type="checkbox"/> Check if Form 8271 is attached	

Part II Information About the Partner	
G Partner's identifying number	000-00-0000
H Partner's name, address, city, state, and ZIP code	Irving Sonnenfeld Redacted
I <input type="checkbox"/> General partner or LLC member-manager <input checked="" type="checkbox"/> Limited partner or other LLC member	
J <input checked="" type="checkbox"/> Domestic partner <input type="checkbox"/> Foreign partner	
K What type of entity is this partner?	INDIVIDUAL
L Partner's share of profit, loss, and capital:	
Beginning	Ending
Profit 6.0700 %	6.0700 %
Loss	
Capital	
M Partner's share of liabilities at year end:	
Nonrecourse	\$
Qualified nonrecourse financing	\$
Recourse	\$
N Partner's capital account analysis:	
Beginning capital account	\$
Capital contributed during the year	\$
Current year increase (decrease)	3,436.
Withdrawals & distributions	(3,436.)
Ending capital account	\$
<input checked="" type="checkbox"/> Tax basis <input type="checkbox"/> GAAP <input type="checkbox"/> Section 704(b) book	
<input type="checkbox"/> Other (explain)	

Part III Partner's Share of Current Year Income, Deductions, Credits, and Other Items		
1 Ordinary business income (loss)	15 Credits & credit recapture	
2 Net rental real estate income (loss)		
3 Other net rental income (loss)	16 Foreign transactions	
4 Guaranteed payments		
5 Interest income		548.
6a Ordinary dividends		405.
6b Qualified dividends		
7 Royalties		
8 Net short-term capital gain (loss)		2,843.
9a Net long-term capital gain (loss)	17 Alternative minimum tax (AMT) items	
9b Collectibles (28%) gain (loss)		
9c Unrecaptured section 1250 gain		
10 Net section 1231 gain (loss)	18 Tax-exempt income and nondeductible expenses	
11 Other income (loss)		
12 Section 179 deduction	19 Distributions	A 3436.
13 Other deductions	20 Other information	A 954.
14 Self-employment earnings (loss)		

*See attached statement for additional information.

For IRS use only

For Privacy Act and Paperwork Reduction Act Notice, see Instructions for Form 1065.

Schedule K-1 (Form 1065) 2005

UYA

02/09/2006 05:09:29PM

MCMDP_00010431

Partnership: David Shapiro Nominee 3 EIN: Redacted 3182
Partner: Irving Sonnenfeld I.D. No.: 000-00-0000

Schedule K-1 - Supplemental Information
Supporting Statement for Schedule K-1

Description	Amount
Interest income from U.S. Obligations included in line 5	\$548.

Irv Sonnenfeld

	Investment to 1/1/02:	\$ 51,578.84	(Includes '01 4th 1/4 earnings)						
	US Interest	Dividends	SICG	Total "Earnings	C/Y Distribution	Add'l Invsmt	Net Distribution	Prev 4th 1/4	4th 1/4
2002	422.13	320.68	5,049.63	5,792.44	6,508.86	0.00	6,508.86	1,578.84	862.42
2003	225.02	453.31	3,777.78	4,456.11	4,662.58	0.00	4,662.58	862.42	655.95
2004	305.14	650.87	3,146.66	4,102.67	3,925.92	-5,000.00	8,925.92	655.95	832.70
2005	548.07	405.09	2,483.12	3,436.28	3,133.46	-5,000.00	8,133.46	832.70	1,135.52
2006	482.15	664.08	3,269.91	4,416.14	4,605.95	0.00	4,605.95	1,135.52	945.71
2007	1,008.10	427.53	2,350.02	3,785.65	3,883.20	0.00	3,883.20	945.71	848.16
2008				2,733.99	3,582.15	0.00	3,582.15	848.16	
Total '02 to '08			\$ 28,723.28	\$ 30,302.12	\$(10,000.00)	\$ 40,302.12			

Correct 2/2/09
D Shapiro

Irv Sonnenfeld		Investment to 1/1/02: \$ 51,578.84 (Includes '01 4th 1/4 earnings)							
	<u>US Interest</u>	<u>Dividends</u>	<u>STCG</u>	<u>Total "Earnings"</u>	<u>C/Y Distribution</u>	<u>Add'l Investmt</u>	<u>Net Distribution</u>	<u>Prev 4th 1/4</u>	<u>4th 1/4</u>
2002	422.13	320.68	5,049.63	5,792.44	6,508.86	0.00	6,508.86	1,578.84	862.42
2003	225.02	453.31	3,777.78	4,456.11	4,662.58	0.00	4,662.58	862.42	655.95
2004	305.14	650.87	3,146.66	4,102.67	3,925.92	-5,000.00	8,925.92	655.95	832.70
2005	548.07	405.09	2,483.12	3,436.28	3,133.46	-5,000.00	8,133.46	832.70	1,135.52
2006	482.15	664.08	3,269.91	4,416.14	4,605.95	0.00	4,605.95	1,135.52	945.71
2007	1,008.10	427.53	2,350.02	3,785.65	3,883.20	0.00	3,883.20	945.71	848.16
2008				2,733.99	3,582.15	0.00	3,582.15	848.16	
Total '02 to '08		\$ 28,723.28		\$ 30,302.12		\$(10,000.00)		\$ 40,302.12	

*I'm at Elanor — I don't think this was sent before
I just escaped from the hospital.
Dated 10/25/09*

DAVID SHAPIRO NOMINEE 3

Redacted

February 8, 2008

Irving Sonnenfeld

Redacted

RE:

David Shapiro Nominee 3

Redacted 3182

Schedule K-1 from Partnership's 2007 Return of Income

Dear Irving Sonnenfeld:

Enclosed is your 2007 Schedule K-1 (Form 1065) Partner's Share of Income, Deductions, Credits, Etc. from David Shapiro Nominee 3. This information reflects the amounts you need to complete your income tax return. The amounts shown are your distributive share of partnership tax items to be reported on your tax return, and may not correspond to actual distributions you have received during the year. This information is included in the Partnership's 2007 Federal Return of Partnership Income that was filed with the Internal Revenue Service.

If you have any questions concerning this information, please contact us immediately.

Sincerely,

David Shapiro Nominee 3

Enclosure(s)

MCMDP_00010435

651107

OMB No. 1545-0099

Schedule K-1
(Form 1065)**2007**

For calendar year 2007, or tax

year beginning _____, 2007

ending _____, 2007

Department of the Treasury
Internal Revenue Service**Partner's Share of Income, Deductions,
Credits, etc.**

▶ See separate instructions.

Part I Information About the Partnership

A Partnership's employer identification number Redacted 3182
B Partnership's name, address, city, state, and ZIP code DAVID SHAPIRO NOMINEE 3 Redacted
C IRS Center where partnership filed return CINCINNATI, OH
D <input type="checkbox"/> Check if this is a publicly traded partnership (PTP)

Part II Information About the Partner

E Partner's identifying number Redacted -2577												
F Partner's name, address, city, state, and ZIP code IRVING SONNENFELD Redacted												
G <input type="checkbox"/> General partner or LLC member-manager <input checked="" type="checkbox"/> Limited partner or other LLC member												
H <input checked="" type="checkbox"/> Domestic partner <input type="checkbox"/> Foreign partner												
I What type of entity is this partner? <u>INDIVIDUAL</u>												
J Partner's share of profit, loss, and capital: <table border="1"><thead><tr><th></th><th>Beginning</th><th>Ending</th></tr></thead><tbody><tr><td>Profit</td><td>6.791963 %</td><td>6.791963 %</td></tr><tr><td>Loss</td><td>6.791963 %</td><td>6.791963 %</td></tr><tr><td>Capital</td><td>%</td><td>%</td></tr></tbody></table>		Beginning	Ending	Profit	6.791963 %	6.791963 %	Loss	6.791963 %	6.791963 %	Capital	%	%
	Beginning	Ending										
Profit	6.791963 %	6.791963 %										
Loss	6.791963 %	6.791963 %										
Capital	%	%										
K Partner's share of liabilities at year end: <table border="1"><tr><td>Nonrecourse</td><td>\$</td></tr><tr><td>Qualified nonrecourse financing</td><td>\$</td></tr><tr><td>Recourse</td><td>\$</td></tr></table>	Nonrecourse	\$	Qualified nonrecourse financing	\$	Recourse	\$						
Nonrecourse	\$											
Qualified nonrecourse financing	\$											
Recourse	\$											

L Partner's capital account analysis:	
Beginning capital account	\$ 40,946.
Capital contributed during the year	\$
Current year increase (decrease)	\$ 3,785.
Withdrawals and distributions	\$ (3,883.)
Ending capital account	\$ 40,848.

☒ Tax basis ☐ GAAP ☐ Section 704(b) book
☐ Other (explain)☐ Final K-1☐ Amended K-1**Part III Partner's Share of Current Year Income,
Deductions, Credits, and Other Items**

1 Ordinary business income (loss)	15 Credits
2 Net rental real estate income (loss)	
3 Other net rental income (loss)	16 Foreign transactions
4 Guaranteed payments	
5 Interest income 1,008.	
6a Ordinary dividends 427.	
6b Qualified dividends	
7 Royalties	
8 Net short-term capital gain (loss) 2,350.	
9a Net long-term capital gain (loss)	17 Alternative minimum tax (AMT) items
9b Collectibles (28%) gain (loss)	
9c Unrecaptured section 1250 gain	
10 Net section 1231 gain (loss)	18 Tax-exempt income and nondeductible expenses
11 Other income (loss)	
12 Section 179 deduction	19 Distributions A 3,883.
13 Other deductions	20 Other information A 1,435.
14 Self-employment earnings (loss)	

*See attached statement for additional information.

FOR
IRS
USE
ONLY**BAA For Paperwork Reduction Act Notice, see Instructions for Form 1065.**
PARTNER 3

Schedule K-1 (Form 1065) 2007

PTPA0312L 12/31/07

MCMDP_00010436

This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the instructions for your income tax return.

	Report on
1 Ordinary business income (loss). You must first determine whether the income (loss) is passive or nonpassive. Then enter on your return as follows:	
Passive loss	See the Partner's Instructions
Passive income	Schedule E, line 28, column (g)
Nonpassive loss	Schedule E, line 28, column (h)
Nonpassive income	Schedule E, line 28, column (i)
2 Net rental real estate income (loss)	See the Partner's Instructions
3 Other net rental income (loss)	
Net income	Schedule E, line 28, column (g)
Net loss	See the Partner's Instructions
4 Guaranteed payments	Schedule E, line 28, column (i)
5 Interest income	Form 1040, line 8a
6a Ordinary dividends	Form 1040, line 9a
6b Qualified dividends	Form 1040, line 9b
7 Royalties	Schedule E, line 4
8 Net short-term capital gain (loss)	Schedule D, line 5, column (f)
9a Net long-term capital gain (loss)	Schedule D, line 12, column (f)
9b Collectibles (28%) gain (loss)	28% Rate Gain Worksheet, line 4 (Schedule D Instructions)
9c Unrecaptured section 1250 gain	See the Partner's Instructions
10 Net section 1231 gain (loss)	See the Partner's Instructions
11 Other income (loss)	
Code	
A Other portfolio income (loss)	See the Partner's Instructions
B Involuntary conversions	See the Partner's Instructions
C Section 1256 contracts and straddles	Form 6781, line 1
D Mining exploration costs recapture	See Pub 535
E Cancellation of debt	Form 1040, line 21 or Form 982
F Other income (loss)	See the Partner's Instructions
12 Section 179 deduction	See the Partner's Instructions
13 Other deductions	
A Cash contributions (50%)	See the Partner's Instructions
B Cash contributions (30%)	
C Noncash contributions (50%)	
D Noncash contributions (30%)	
E Capital gain property to a 50% organization (30%)	
F Capital gain property (20%)	
G Investment interest expense	Form 4952, line 1
H Deductions — royalty income	Schedule E, line 18
I Section 59(e)(2) expenditures	See the Partner's Instructions
J Deductions — portfolio (2% floor)	Schedule A, line 23
K Deductions — portfolio (other)	Schedule A, line 28
L Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29
M Educational assistance benefits	See the Partner's Instructions
N Dependent care benefits	Form 2441, line 14
O Preproductive period expenses	See the Partner's Instructions
P Commercial revitalization deduction from rental real estate activities	See Form 8582 Instructions
Q Pensions and IRAs	See the Partner's Instructions
R Reforestation expense deduction	See the Partner's Instructions
S Domestic production activities information	See Form 8903 Instructions
T Qualified production activities income	Form 8903, line 7
U Employer's W-2 wages	Form 8903, line 15
V Other deductions	See the Partner's Instructions
14 Self-employment earnings (loss)	
Note. If you have a section 179 deduction or any partner-level deductions, see the Partner's Instructions before completing Schedule SE.	
A Net earnings (loss) from self-employment	Schedule SE, Section A or B
B Gross farming or fishing income	See the Partner's Instructions
C Gross non-farm income	See the Partner's Instructions
15 Credits	
A Low-income housing credit (section 42(j)(5))	See the Partner's Instructions
B Low-income housing credit (other)	
C Qualified rehabilitation expenditures (rental real estate)	
D Other rental real estate credits	
E Other rental credits	
F Undistributed capital gains credit	Form 1040, line 70; check box a
G Credit for alcohol used as fuel	
H Work opportunity credit	See the Partner's Instructions
I Welfare-to-work credit	
J Disabled access credit	

Code	Report on
K Empowerment zone and renewal community employment credit	Form 8844, line 3
L Credit for increasing research activities	See the Partner's Instructions
M New markets credit	
N Credit for employer social security and Medicare taxes	
O Backup withholding	Form 1040, line 64
P Other credits	See the Partner's Instructions
Foreign transactions	
A Name of country or U.S. possession	Form 1116, Part I
B Gross income from all sources	
C Gross income sourced at partner level	
<i>Foreign gross income sourced at partnership level</i>	
D Passive category	Form 1116, Part I
E General category	
F Other	
<i>Deductions allocated and apportioned at partner level</i>	
G Interest expense	Form 1116, Part I
H Other	Form 1116, Part I
<i>Deductions allocated and apportioned at partnership level to foreign source income</i>	
I Passive category	Form 1116, Part I
J General category	
K Other	
<i>Other information</i>	
L Total foreign taxes paid	Form 1116, Part II
M Total foreign taxes accrued	Form 1116, Part II
N Reduction in taxes available for credit	Form 1116, line 12
O Foreign trading gross receipts	Form 8873
P Extraterritorial income exclusion	Form 8873
Q Other foreign transactions	See the Partner's Instructions
Alternative minimum tax (AMT) items	
A Post-1986 depreciation adjustment	See the Partner's Instructions and the Instructions for Form 6251
B Adjusted gain or loss	
C Depletion (other than oil & gas)	
D Oil, gas, & geothermal — gross income	
E Oil, gas, & geothermal — deductions	
F Other AMT items	
Tax-exempt income and nondeductible expenses	
A Tax-exempt interest income	Form 1040, line 8b
B Other tax-exempt income	See the Partner's Instructions
C Nondeductible expenses	See the Partner's Instructions
Distributions	
A Cash and marketable securities	See the Partner's Instructions
B Other property	See the Partner's Instructions
Other information	
A Investment income	Form 4952, line 4a
B Investment expenses	Form 4952, line 5
C Fuel tax credit information	Form 4136
D Qualified rehabilitation expenditures (other than rental real estate)	See the Partner's Instructions
E Basis of energy property	See the Partner's Instructions
F Recapture of low-income housing credit (section 42(j)(5))	Form 8611, line 8
G Recapture of low-income housing credit (other)	Form 8611, line 8
H Recapture of investment credit	Form 4255
I Recapture of other credits	See the Partner's Instructions
J Look-back interest — completed long-term contracts	See Form 8697
K Look-back interest — income forecast method	See Form 8866
L Dispositions of property with section 179 deductions	See the Partner's Instructions
M Recapture of section 179 deduction	
N Interest expense for corporate partners	
O Section 453(l)(3) information	
P Section 453A(c) information	
Q Section 1260(b) information	
R Interest allocable to production expenditures	
S CCF nonqualified withdrawals	
T Information needed to figure depletion — oil and gas	
U Amortization of reforestation costs	
V Unrelated business taxable income	
W Other information	

DAVID SHAPIRO NOMINEE 3

SCHEDULE K-1 (FORM 1065) 2007

SUPPLEMENTAL INFORMATION

PAGE 3

SUPPLEMENTAL INFORMATION

ALL INTEREST IS FROM FEDERAL OBLIGATIONS.

PART 2 ITEM L INCLUDES BEGINNING AND ENDING CAPITAL ACCOUNTS. THE FORMER
CONSISTS OF YOUR INVESTMENT ON JANUARY 1ST PLUS YOUR EARNINGS FOR THE FORTH
QUARTER OF LAST YEAR, WHICH WAS NOT PAID UNTIL JANUARY. SIMILARLY, THE LATTER
INCLUDES YOUR INVESTMENT ON DECEMBER 31ST PLUS EARNINGS FOR THE FORTH QUARTER OF
THE CURRENT YEAR PAID IN JANUARY.

MCMDP_00010438

2007

New York State Department of Taxation and Finance

New York Partner's Schedule K-1
Tax Law — Article 22 (Personal Income Tax)**IT-204-IP**

For calendar year 2007 or fiscal year beginning

and ending

Final K-1

Amended K-1

Partners: Before completing your income tax return, see Form IT-204-IP-I, *Partner's Instructions for Form IT-204-IP* (available at www.nystax.gov)**Partnership's information** (see instructions)

Partnership's name (as shown on Form IT-204)

DAVID SHAPIRO NOMINEE 3

Partnership's EIN

Redacted 3182

A Mark an **X** in the box if either applies to your entity

Publicly traded partnership

☒ Investment partnership**B** Tax shelter registration number, if any. **B****C** Business allocation percentage. **C** 100.0000**Partner's information** (see instructions)

Partner's name

IRVING SONNENFELD

Partner's identifying number

Redacted -2577

Partner's address

Redacted

City

Redacted

State

Redacted

ZIP code

Redacted

D The partner is a (mark an **X** in the appropriate box)

General partner or LLC member manager

☒ Limited partner or other LLC member**E** What is the tax filing status of the partner? (Mark an **X** in the appropriate box, if known)☒ Individual

Estate/trust

Partnership

F If the partner is a disregarded entity or grantor trust, enter the tax ID of the entity or individual reporting the income, if known. **F****G** Did the partner sell its entire interest during the tax year. **G** Yes No ☒**H** Partner's share of profit, loss, and capital

		Beginning		Ending
1) Profit.	H1	6.7920	%	6.7920 %
2) Loss.	H2	6.7920	%	6.7920 %
3) Capital.	H3		%	%

I Partner's share of liabilities at the end of the year

1) Nonrecourse.	I1
2) Qualified nonrecourse financing.	I2
3) Recourse.	I3

J Partner's capital account analysis

1) Beginning capital account.	J1	40,946.
2) Capital contributed during the year - cash.	J2	
3) Capital contributed during the year - property.	J3	
4) Current year increase (decrease).	J4	3,785.
5) Withdrawals and distributions - cash.	J5	3,883.
6) Withdrawals and distributions - property.	J6	
7) Ending capital account.	J7	40,848.

8) Method of accounting (mark an **X** in the appropriate box)☒ Tax basis

GAAP

Book

Other (attach explanation)

K Resident status (mark and **X** in all boxes that apply)☒ NYS full-year resident

Yonkers full-year resident

NYC full-year resident

NYS part-year resident

Yonkers part-year resident

NYC part-year resident

NYS nonresident

Yonkers nonresident

1181071032

NYP2514L 01/18/08

Please file this original scannable form with the Tax Department.



MCMDP_00010439

Page 2 of 4 IT-204-IP (2007) IRVING SONNENFELD

Redacted -2577

L If the partner was included in a group return, enter the special NYS identification number, if known. L

M Was Form IT-2658-E filed with the partnership? M Yes No

N NYS estimated tax paid on behalf of partner (from Form IT-2658) Date Amount

- 1) First installment N1
2) Second installment N2
3) Third installment N3
4) Fourth installment N4

Total NYS estimated tax paid on behalf of partner (add lines N1 through N4) N

Partner's share of income, deductions, etc.

A — Partner's distributive share items

B — Federal K-1 amount

C — New York State amount

1 Ordinary business income (loss)	1.	1.
2 Net rental real estate income (loss)	2.	2.
3 Other net rental income (loss)	3.	3.
4 Guaranteed payments	4.	4.
5 Interest income	5. 1,008.	5. 1,008.
6 Ordinary dividends	6. 427.	6. 427.
7 Royalties	7.	7.
8 Net short-term capital gain (loss)	8. 2,350.	8. 2,350.
9 Net long-term capital gain (loss)	9.	9.
10 Net section 1231 gain (loss)	10.	10.
11 Other income (loss)	11.	11.
Identify:		
12 Section 179 deduction	12.	12.
13 Other deductions	13.	13.
Identify:		
14 Tax preference items for minimum tax	14.	14.
Identify:		
15 Net earnings (loss) from self-employment	15.	15.
16 Tax-exempt income and nondeductible expenses	16.	16.
17 Distributions - cash and marketable securities	17. 3,883.	17. 3,883.
18 Distributions - other property	18.	18.
19 Other items not included above that are required to be reported separately to partners	19. 1,435.	19. 1,435.
Identify: SEE SUPPLEMENTAL INFO.		

Partner's share of New York modifications (see instructions)

20 New York State additions

Number

A — Total amount

B — New York State allocated amount

20a EA
20b EA
20c EA
20d EA
20e EA
20f EA

21 Total addition modifications (total of column A, lines 20a through 20f) 21. 3,008.

NYP2514L 01/18/08

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1182071032



MCMDP_00010440

IRVING SONNENFELD

Redacted-2577 IT-204-IP (2007) Page 3 of 4

Partner's share of New York modifications (continued)

22 New York State subtractions

	Number	A — Total amount	B — New York State allocated amount
22a.	ES 3	1,008	1,008
22b.	ES		
22c.	ES		
22d.	ES		
22e.	ES		
22f.	ES		

23 Total subtraction modifications (total of column A, lines 22a through 22f) 23. 1,008

24 Additions to federal itemized deductions

Letter	Amount
24a.	
24b.	
24c.	
24d.	
24e.	
24f.	

25 Total additions to federal itemized deductions (add lines 24a through 24f) 25.

26 Subtractions from federal itemized deductions

Letter	Amount
26a.	
26b.	
26c.	
26d.	
26e.	
26f.	

27 Total subtractions from federal itemized deductions (add lines 26a through 26f) 27.

28 Interest expense incurred to carry tax-exempt obligations. 28.

29 New York adjustments to tax preference items. 29.

Partner's credit information

Part 1 — Pass-through credit bases and factors

Brownfield redevelopment tax credit (Form IT-611)

30	Site preparation credit component.	30.
31	Tangible property credit component.	31.
32	On-site groundwater remediation credit component.	32.

NYPA2514L 01/18/08

Please file this original scannable form with the Tax Department.

1183071032



MCMDP_00010441

Partner's credit information (continued)**EZ capital tax credit (Form IT-602)**

33	Investments in certified EZ businesses.....	33.
34	Contributions of money to EZ community development projects.....	34.
35	Recapture of credit for investments in certain EZ businesses.....	35.
36	Recapture of credit for contributions of money to EZ community development projects.....	36.

QEZE tax reduction credit (Form IT-604)

37	QEZE employment increase factor.....	37.
38	QEZE zone allocation factor.....	38.
39	QEZE benefit period factor.....	39.

QETC facilities, operations, and training credit (Form DTF-619)

40	Research and development property credit component.....	40.
41	Qualified research expenses credit component.....	41.
42	Qualified high-technology training expenditures credit component.....	42.

Farmers' school tax credit (Form IT-217)

43	Acres of qualified agricultural property.....	43.
44	Acres of qualified conservation property.....	44.
45	Eligible school district property taxes paid.....	45.
46	Acres of qualified agricultural property converted to nonqualified use.....	46.

Other pass-through credit bases and factors**Credit bases**

Code	Amount	Code	Amount
47a.		47d.	
47b.		47e.	
47c.		47f.	

Credit factors

Code	Factor	Code	Factor	Code	Factor
47g.		47i.		47k.	
47h.		47j.		47l.	

Part 2 – Pass-through credits, addbacks and recaptures

48	Long-term care insurance credit (Form IT-249).....	48.
49	Investment credit (including employment incentive credit and historic barn rehabilitation credit; Form IT-212).....	49.
50	Research and development – investment credit (Form IT-212).....	50.
51	Other pass-through credits	

Code	Amount	Code	Amount
51a.		51e.	
51b.		51f.	
51c.		51g.	
51d.		51h.	

52 Addbacks of credit and recaptures

Code	Amount	Code	Amount
52a.		52d.	
52b.		52e.	
52c.		52f.	



DAVID SHAPIRO NOMINEE 3 [Redacted] 3182

2007 (IT-204-IP)

NEW YORK PARTNER'S SCHEDULE K-1 SUPPLEMENTAL INFORMATION

PAGE 5

**FORM IT-204-IP, PAGE 2, LINE 19
OTHER ITEMS REPORTED SEPARATELY**

INVESTMENT INCOME	\$	1,435.
TOTAL FEDERAL AMOUNT - COLUMN B		1,435.
BUSINESS ALLOCATION PERCENTAGE	X	1.0000
NEW YORK STATE AMOUNT - COLUMN C		<u>1,435.</u>

PARTNER 3: IRVING SONNENFELD

[Redacted] 2577

SPSL1201L 07/31/03

MCMDP_00010443

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

(Please print or type)

Name of Customer: IRVING M SONNENFELD-
Mailing Address: Redacted
City: Redacted State: Redacted Zip: Redacted
Account No.: 150299
Taxpayer I.D. Number (Social Security No.): Redacted 2577
Partnership Owned ID # Redacted 182

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of December 11, 2008:

- a. The Broker owes me a Credit (Cr.) Balance of \$ 40,848
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0
- c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."
If you wish to make a payment, it must be enclosed
with this claim form. \$ 0
- d. If balance is zero, insert "None." NONE

MCMDP_00010444

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities | _____ | _____✓ |
| b. I owe the Broker securities | _____ | _____✓ |
| c. If yes to either, please list below: | | |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

MCMDP_00010445

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain. | _____ | _____✓ |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker? | _____ | _____✓ |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____ | _____✓ |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s) | _____ | _____✓ |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming. | _____ | _____✓ |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers. | _____ | _____✓ |
| 9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. | _____ | _____✓ |

Please list the full name and address of anyone assisting you in the preparation of this claim form: _____

MCMDP_00010446

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 6/16/09 Signature 
Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

MCMDP_00010447

David Shapiro

Redacted

Telephone:

Fax:

E-Mail:

Redacted

Irv Sonnenfeld

June 4, 2009

Redacted

Dear Irv:

I have been given to understand that Irving Picard, receiver for Bernard L Madoff Investment Securities, has suggested that, although he has said no payments would be made to indirect investors, a court may overrule him. He therefore suggests that indirect investors submit claims to SIPC. If you choose to do that, the required form is available at:

http://www.madoff.com/claimspackages/customer/Madoff_Customer_Web_Claim_Form.pdf

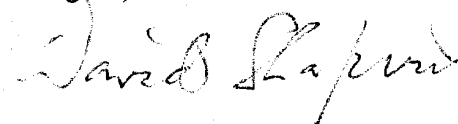
Instructions are available at:

http://www.madoff.com/claimspackages/customer/Madoff_Customer_Claim_Instructions.pdf

You are a limited partner in "David Shapiro Nominee 3", of which I am the general partner. You have a K1 form which shows your loss. The partnership tax ID is **Redacted** 3182, and the partnership owned Madoff account number 1-S0299.

Good luck.

Regards,



DS/pc

David Shapiro

MCMDP_00010448

2008 Partner's Capital Account Reconciliation

Partnership Name DAVID SHAPIRO NOMINEE 3	Employer I.D. number Redacted 3182
Name of Partner IRVING SONNENFELD	Partner's I.D. number Redacted 2577

I. SCHEDULE K-1, ITEM N:

BEGINNING CAPITAL ACCOUNT	40,848.
CAPITAL CONTRIBUTED DURING THE YEAR	
PARTNER'S SHARE OF LINES 3, 4 AND 7, FORM 1065, SCH. M-2:	
NET INCOME (LOSS) PER BOOKS (line 3)	-37,266.
OTHER INCREASES (line 4)	
OTHER DECREASES (line 7)	
TOTAL OF LINES 3, 4, AND 7	-37,266.
WITHDRAWALS AND DISTRIBUTIONS	3,582.
ENDING CAPITAL ACCOUNT	

BOOK TO TAX RECONCILIATION:

<table border="0" style="width: 100%;"> <tr><td>1. Net income (loss) per books</td><td style="text-align: right;">-37,266.</td></tr> <tr><td>2. Income on Sch. K-1 not on books</td><td></td></tr> <tr><td>3. Guaranteed payments</td><td></td></tr> <tr><td>4a. Depr. on books not on Sch. K-1</td><td></td></tr> <tr><td>4b. Travel and entertainment</td><td></td></tr> <tr><td>4c. Other expenses on books not on Sch. K-1</td><td style="text-align: right;">1,824.</td></tr> <tr><td>5. Total of lines 1 through 4</td><td style="text-align: right;">-35,442.</td></tr> </table>	1. Net income (loss) per books	-37,266.	2. Income on Sch. K-1 not on books		3. Guaranteed payments		4a. Depr. on books not on Sch. K-1		4b. Travel and entertainment		4c. Other expenses on books not on Sch. K-1	1,824.	5. Total of lines 1 through 4	-35,442.	<table border="0" style="width: 100%;"> <tr><td>6a. Tax-exempt interest</td><td></td></tr> <tr><td>6b. Income on books not on Sch. K-1</td><td></td></tr> <tr><td>7a. Depreciation on Sch. K-1 not on books</td><td></td></tr> <tr><td>7b. Deductions on Sch. K-1 not on books</td><td></td></tr> <tr><td>8. Total of lines 6 and 7</td><td></td></tr> <tr><td>9. Tax Income (loss). Line 5 less line 8</td><td style="text-align: right;">-35,442.</td></tr> </table>	6a. Tax-exempt interest		6b. Income on books not on Sch. K-1		7a. Depreciation on Sch. K-1 not on books		7b. Deductions on Sch. K-1 not on books		8. Total of lines 6 and 7		9. Tax Income (loss). Line 5 less line 8	-35,442.
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8. Total of lines 6 and 7																											
9. Tax Income (loss). Line 5 less line 8	-35,442.																										

II. ANALYSIS OF PARTNER'S TAX INCOME (LOSS) FROM SCHEDULE K-1:

A. INCOME

B. DEDUCTIONS

<table border="0" style="width: 100%;"> <tr><td>1. Ordinary business income or (loss) ..</td><td style="text-align: right;">-35,442.</td></tr> <tr><td>2. Net rental real estate income (loss)</td><td></td></tr> <tr><td>3. Other net rental income (loss)</td><td></td></tr> <tr><td>4. Guaranteed payments</td><td></td></tr> <tr><td>5. Interest income</td><td></td></tr> <tr><td>6a. Dividends</td><td></td></tr> <tr><td>7. Royalties</td><td></td></tr> <tr><td>8. Net short-term capital gain (loss)</td><td></td></tr> <tr><td>9a. Net long-term capital gain (loss)</td><td></td></tr> <tr><td>10. Net gain (loss) under section 1231</td><td></td></tr> <tr><td>11. Other income</td><td></td></tr> <tr><td>Total income</td><td style="text-align: right;">-35,442.</td></tr> </table>	1. Ordinary business income or (loss) ..	-35,442.	2. Net rental real estate income (loss)		3. Other net rental income (loss)		4. Guaranteed payments		5. Interest income		6a. Dividends		7. Royalties		8. Net short-term capital gain (loss)		9a. Net long-term capital gain (loss)		10. Net gain (loss) under section 1231		11. Other income		Total income	-35,442.	<table border="0" style="width: 100%;"> <tr><td>12. Section 179 deduction</td><td></td></tr> <tr><td>13. Charitable contributions</td><td></td></tr> <tr><td>13. Investment interest expense</td><td></td></tr> <tr><td>13. Section 59(e)(2) expenditures</td><td></td></tr> <tr><td>13. Other deductions</td><td></td></tr> <tr><td>16. Total foreign taxes</td><td></td></tr> <tr><td>Total deductions</td><td></td></tr> <tr><td colspan="2">TAX INCOME (LOSS). Item A less Item B</td></tr> <tr><td colspan="2" style="text-align: right;">-35,442.</td></tr> </table>	12. Section 179 deduction		13. Charitable contributions		13. Investment interest expense		13. Section 59(e)(2) expenditures		13. Other deductions		16. Total foreign taxes		Total deductions		TAX INCOME (LOSS). Item A less Item B		-35,442.	
1. Ordinary business income or (loss) ..	-35,442.																																										
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-35,442.																																											

HARDSHIP APPLICATION

BERNARD L. MADOFF INVESTMENT SECURITIES LLC. In Liquidation

In order to be considered for the Hardship Program, a copy of which is available at www.madofftrustee.com, you must submit this Hardship Application.

Name of Customer(s): IRVING M. SONNENFELD
Mailing Address: Redacted
City: Redacted State: Redacted Zip: Redacted
Account Number: MADOFF ACCOUNT # 1502995
Taxpayer I.D. No. (Social Security No.): Redacted -2577 TAXID Redacted 3182
Email Address: Redacted Cellular Phone Number: Redacted 0677
Have you filed a claim? Yes: ☒ No: ☐
Do you have an attorney? NO
If yes, provide name and phone number:

Please answer the following questions to aid the Trustee in assessing your application in the space provided below (attach additional pages where necessary to give a complete answer).

Do you own your home ☐ or rent ☐ other ☒

If you own your home, is there a mortgage?

Yes: ☐ No: ☐

Net equity: \$ _____

If yes, is there a foreclosure pending?

Yes: ☐ No: ☐

Do you or your spouse have income from a business or job? Yes: ☐ No: ☒

If yes, please specify: \$ _____ per month

Do you have other sources of income? Social Security: ☒ Pension: ☐ Checking Account ☐

Other investments: ☐ (specify bonds, stocks, mutual funds, etc.) _____

Do you own any real estate other than your residence? Yes: ☐ No: ☒

If yes, please specify: _____

Is there a mortgage on the property?

Yes: ☐ No: ☐

Net equity: \$ _____

Do you have insurance to pay medical expenses?

Yes: ☒ No: ☐

Medicare + AARP card \$3933.00 per year

Are you paying medical expenses for a serious illness for you or a dependent?

Yes: ☐ No: ☒

If yes, please specify: _____

Are you paying other costs, such as a nursing home or assisted care living costs?

Yes: ☐ No: ☒

If yes, please specify: _____

Do you have insurance to cover these costs?

Yes: ☐ No: ☒

Do you have life insurance with a cash value?

Yes: ☐ No: ☒

If yes, please specify amount: _____

Are you paying education costs for yourself or dependent?

Yes: ☐ No: ☒

If yes, please specify amount: _____

Please provide a detailed description of the hardship you are experiencing and include any information not listed above that would aid the Trustee in considering your Hardship Application.

My only income is now Social Security - I am now finding it very hard to pay my auto insurance and auto maintenance. I now eat all my meals at home and no longer go out for any entertainment, no movies, or shows or, drinking - I have already borrowed \$1500 from my son just to pay for auto repairs this year - He has also paid \$2800 for my hearing aids - The money from Madoff used to pay for much of all of the above - I am now 91 years old and its too late to look for a job.

THE FOREGOING IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Irving H. Picard 4/17/09
Signature Date

Signature Date

If ownership of the subject account is shared, all must sign above.

This Hardship Application must be completed and mailed promptly,
together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

DAVID SHAPIRO NOMINEE 3

Redacted

April 7, 2009

Irving Sonnenfeld

Redacted

RE:

David Shapiro Nominee 3

Redacted 3182

Schedule K-1 from Partnership's 2008 Return of Income

Dear Irving Sonnenfeld:

Enclosed is your 2008 Schedule K-1 (Form 1065) Partner's Share of Income, Deductions, Credits, Etc. from David Shapiro Nominee 3. This information reflects the amounts you need to complete your income tax return. The amounts shown are your distributive share of partnership tax items to be reported on your tax return, and may not correspond to actual distributions you have received during the year. This information is included in the Partnership's 2008 Federal Return of Partnership Income that was filed with the Internal Revenue Service.

This is the final year that David Shapiro Nominee 3 will file a Return of Partnership Income. As a result, this will be the last Schedule K-1 (Form 1065) you will receive from the partnership.

If you have any questions concerning this information, please contact us immediately.

Sincerely,

David Shapiro Nominee 3

Enclosure(s)

MCMDP_00010452

Schedule K-1 (Form 1065) 2008 DAVID SHAPIRO NOMINEE 3 [Redacted] 3182

Page 2

This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the instructions for your income tax return.

1	Ordinary business income (loss). Determine whether the income (loss) is passive or nonpassive and enter on your return as follows.		
	Passive loss	See the Partner's Instructions	
	Passive income	Schedule E, line 28, column (g)	
	Nonpassive loss	Schedule E, line 28, column (h)	
	Nonpassive income	Schedule E, line 28, column (i)	
2	Net rental real estate income (loss)	See the Partner's Instructions	
3	Other net rental income (loss)		
	Net income	Schedule E, line 28, column (g)	
	Net loss	See the Partner's Instructions	
4	Guaranteed payments	Schedule E, line 28, column (j)	
5	Interest income	Form 1040, line 8a	
6a	Ordinary dividends	Form 1040, line 9a	
6b	Qualified dividends	Form 1040, line 9b	
7	Royalties	Schedule E, line 4	
8	Net short-term capital gain (loss)	Schedule D, line 5, column (f)	
9a	Net long-term capital gain (loss)	Schedule D, line 12, column (f)	
9b	Collectibles (28%) gain (loss)	28% Rate Gain Worksheet, line 4 (Schedule D Instructions)	
9c	Unrecaptured section 1250 gain	See the Partner's Instructions	
10	Net section 1231 gain (loss)	See the Partner's Instructions	
11	Other income (loss)		
	Code		
	A Other portfolio income (loss)	See the Partner's Instructions	
	B Involuntary conversions	See the Partner's Instructions	
	C Section 1256 contracts and straddles	Form 6781, line 1	
	D Mining exploration costs recapture	See Pub 535	
	E Cancellation of debt	Form 1040, line 21 or Form 982	
	F Other income (loss)	See the Partner's Instructions	
12	Section 179 deduction	See the Partner's Instructions	
13	Other deductions		
	A Cash contributions (50%)	See the Partner's Instructions	
	B Cash contributions (30%)		
	C Noncash contributions (50%)		
	D Noncash contributions (30%)		
	E Capital gain property to a 50% organization (30%)		
	F Capital gain property (20%)		
	G Contributions (100%)		
	H Investment interest expense	Form 4952, line 1	
	I Deductions — royalty income	Schedule E, line 18	
	J Section 59(e)(2) expenditures	See the Partner's Instructions	
	K Deductions — portfolio (2% floor)	Schedule A, line 23	
	L Deductions — portfolio (other)	Schedule A, line 28	
	M Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29	
	N Educational assistance benefits	See the Partner's Instructions	
	O Dependent care benefits	Form 2441, line 14	
	P Preproductive period expenses	See the Partner's Instructions	
	Q Commercial revitalization deduction from rental real estate activities	See Form 8582 Instructions	
	R Pensions and IRAs	See the Partner's Instructions	
	S Reforestation expense deduction	See the Partner's Instructions	
	T Domestic production activities information	See Form 8903 Instructions	
	U Qualified production activities income	Form 8903, line 7	
	V Employer's W-2 wages	Form 8903, line 15	
	W Other deductions	See the Partner's Instructions	
14	Self-employment earnings (loss)		
Note. If you have a section 179 deduction or any partner-level deductions, see the Partner's Instructions before completing Schedule SE.			
	A Net earnings (loss) from self-employment	Schedule SE, Section A or B	
	B Gross farming or fishing income	See the Partner's Instructions	
	C Gross non-farm income	See the Partner's Instructions	
15	Credits		
	A Low-income housing credit (section 42(j)(5)) from pre-2008 buildings	See the Partner's Instructions	
	B Low-income housing credit (other) from pre-2008 buildings	See the Partner's Instructions	
	C Low-income housing credit (section 42(j)(5)) from post-2007 buildings	Form 8586, line 11	
	D Low-income housing credit (other) from post-2007 buildings	Form 8586, line 11	
	E Qualified rehabilitation expenditures (rental real estate)	See the Partner's Instructions	
	F Other rental real estate credits		
	G Other rental credits		
	H Undistributed capital gains credit	Form 1040, line 68; check box a	
	I Alcohol and cellulosic biofuel fuels credit	Form 6478, line 9	

PARTNER 3: IRVING SONNENFELD

[Redacted] 2577

PTPA0312L 12/15/08

Schedule K-1 (Form 1065) 2008

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2008

New York State Department of Taxation and Finance

New York Partner's Schedule K-1
Tax Law – Article 22 (Personal Income Tax)**IT-204-IP**☒ Final K-1
☐ Amended K-1

For calendar year 2008 or fiscal year beginning

and ending

Partners: Before completing your income tax return, see Form IT-204-IP-I, *Partner's Instructions for Form IT-204-IP* (available at www.nystax.gov)**Partnership's information** (see instructions)

Partnership's name (as shown on Form IT-204)

DAVID SHAPIRO NOMINEE 3

Partnership's EIN

Redacted 3182

A Mark an ☒ in the box if either applies to your entity Publicly traded partnership ☐ Portfolio investment partnership

B Tax shelter registration number, if any B

C Business allocation percentage. C 100.0000

Partner's information (see instructions)

Partner's name

IRVING SONNENFELD

Partner's identifying number

Redacted 2577

Partner's address

Redacted

City

Redacted

State

Redacted

ZIP code

Redacted

D The partner is a (mark an ☒ in the appropriate box) General partner or LLC member-manager ☒ Limited partner or other LLC memberE What is the tax filing status of the partner? (Mark an ☒ in the appropriate box, if known) ☒ Individual ☐ Estate/trust ☐ Partnership

F If the partner is a disregarded entity or grantor trust, enter the tax ID of the entity or individual reporting the income, if known. F

G Did the partner sell its entire interest during the tax year G Yes No ☒

H Partner's share of profit, loss, and capital

		Beginning		Ending	
1) Profit	H1	6.7920	%	6.7920	%
2) Loss	H2	6.7920	%	6.7920	%
3) Capital	H3		%		%

I Partner's share of liabilities at the end of the year

1) Nonrecourse	I1
2) Qualified nonrecourse financing	I2
3) Recourse	I3

J Partner's capital account analysis

1) Beginning capital account	J1	40,848.
2) Capital contributed during the year - cash	J2	
3) Capital contributed during the year - property	J3	
4) Current year increase (decrease)	J4	-37,266.
5) Withdrawals and distributions - cash	J5	3,582.
6) Withdrawals and distributions - property	J6	
7) Ending capital account	J7	

8) Method of accounting (mark an ☒ in the appropriate box)☒ Tax basis ☐ GAAP ☐ Book ☐ Other (attach explanation)K Resident status (mark and ☒ in all boxes that apply)

<input checked="" type="checkbox"/> NYS full-year resident	<input type="checkbox"/> Yonkers full-year resident	<input type="checkbox"/> NYC full-year resident
<input type="checkbox"/> NYS part-year resident	<input type="checkbox"/> Yonkers part-year resident	<input type="checkbox"/> NYC part-year resident
<input type="checkbox"/> NYS nonresident	<input type="checkbox"/> Yonkers nonresident	

NYP2514L 10/24/08

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Please file this original scannable form with the Tax Department.

MCMDP_00010454

DAVID SHAPIRO NOMINEE 3 [Redacted] 182

SCHEDULE K-1 (FORM 1065) 2008

SUPPLEMENTAL INFORMATION

PAGE 3

SUPPLEMENTAL INFORMATION

LOSSES REFLECTED ON LINE 1 REPRESENT 95% OF THE MADOFF BASIS. THE PARTNERSHIP HAS ELECTED TO DEDUCT THE LOSS IN ACCORDANCE WITH REV. PROC 2009-20. PLEASE CHECK WITH YOUR TAX ADVISOR AS TO THE BEST TREATMENT ON YOUR RETURN.

PARTNER 3: IRVING SONNENFELD

[Redacted] 2577

SPSL1201L 07/31/03

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L If the partner was included in a group return, enter the special NYS identification number, if known..... **L**

M Was Form IT-2658-E filed with the partnership?..... **M** Yes No

N NYS estimated tax paid on behalf of partner (from Form IT-2658) Date Amount

1) First installment..... **N1**

2) Second installment..... **N2**

3) Third installment..... **N3**

4) Fourth installment..... **N4**

Total NYS estimated tax paid on behalf of partner (add lines N1 through N4)..... **N**

Partner's share of income, deductions, etc.

A — Partner's distributive share items	B — Federal K-1 amount	C — New York State amount
1 Ordinary business income (loss).....	1. -35,442.	1. -35,442.
2 Net rental real estate income (loss).....	2.	2.
3 Other net rental income (loss).....	3.	3.
4 Guaranteed payments.....	4.	4.
5 Interest income.....	5.	5.
6 Ordinary dividends.....	6.	6.
7 Royalties.....	7.	7.
8 Net short-term capital gain (loss).....	8.	8.
9 Net long-term capital gain (loss).....	9.	9.
10 Net section 1231 gain (loss).....	10.	10.
11 Other income (loss).....	11.	11.
Identify:		
12 Section 179 deduction.....	12.	12.
13 Other deductions.....	13.	13.
Identify:		
14 Tax preference items for minimum tax.....	14.	14.
Identify:		
15 Net earnings (loss) from self-employment.....	15.	15.
16 Tax-exempt income and nondeductible expenses.....	16.	16.
17 Distributions - cash and marketable securities.....	17. 3,582.	17. 3,582.
18 Distributions - other property.....	18.	18.
19 Other items not included above that are required to be reported separately to partners.....	19.	19.
Identify:		

Partner's share of New York modifications (see instructions)

20 New York State additions

Number	A — Total amount	B — New York State allocated amount
20a EA		
20b EA		
20c EA		
20d EA		
20e EA		
20f EA		
21 Total addition modifications (total of column A, lines 20a through 20f).....		21.



IRVING SONNENFELD

Redacted 2577

IT-204-IP (2008) Page 3 of 4

Partner's share of New York modifications *(continued)*

22 New York State subtractions

Number A — Total amount B — New York State allocated amount

22a. ES
22b. ES
22c. ES
22d. ES
22e. ES
22f. ES

23 Total subtraction modifications *(total of column A, lines 22a through 22f)* **23.**

24 Additions to federal itemized deductions

Letter Amount

24a.
24b.
24c.
24d.
24e.
24f.

25 Total additions to federal itemized deductions *(add lines 24a through 24f)* **25.**

26 Subtractions from federal itemized deductions

Letter Amount

26a.
26b.
26c.
26d.
26e.
26f.

27 Total subtractions from federal itemized deductions *(add lines 26a through 26f)* **27.**

28 New York adjustments to tax preference items **28.**

Partner's New York filing fee information

29 Partner's share of New York source gross income **29.**

Partner's credit information

Part 1 — Pass-through credit bases and factors

Brownfield redevelopment tax credit *(Form IT-611)*

30 Site preparation credit component **30.**
31 Tangible property credit component **31.**
32 On-site groundwater remediation credit component **32.**

NYPA2514L 10/24/08

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Partner's credit information (continued)**EZ capital tax credit (Form IT-602)**

33	Investments in certified EZ businesses	33.
34	Contributions of money to EZ community development projects	34.
35	Recapture of credit for investments in certain EZ businesses	35.
36	Recapture of credit for contributions of money to EZ community development projects	36.

QEZE tax reduction credit (Form IT-604)

37	QEZE employment increase factor	37.
38	QEZE zone allocation factor	38.
39	QEZE benefit period factor	39.

QETC facilities, operations, and training credit (Form DTF-619)

40	Research and development property credit component	40.
41	Qualified research expenses credit component	41.
42	Qualified high-technology training expenditures credit component	42.

Farmers' school tax credit (Form IT-217)

43	Acres of qualified agricultural property	43.
44	Acres of qualified conservation property	44.
45	Eligible school district property taxes paid	45.
46	Acres of qualified agricultural property converted to nonqualified use	46.

Other pass-through credit bases and factors**Credit bases**

Code	Amount	Code	Amount
47a.		47d.	
47b.		47e.	
47c.		47f.	

Credit factors

Code	Factor	Code	Factor	Code	Factor
47g.		47i.		47k.	
47h.		47j.		47l.	

Part 2 – Pass-through credits, addbacks and recaptures

48	Long-term care insurance credit (Form IT-249)	48.
49	Investment credit (including employment incentive credit and historic barn rehabilitation credit; Form IT-212)	49.
50	Research and development – investment credit (Form IT-212)	50.
51	Other pass-through credits	

Code	Amount	Code	Amount
------	--------	------	--------

51a.		51e.	
51b.		51f.	
51c.		51g.	
51d.		51h.	

52 Addbacks of credits and recaptures

Code	Amount	Code	Amount
------	--------	------	--------

52a.		52d.	
52b.		52e.	
52c.		52f.	



Sonnenfeld, Kenneth

From: SEC Help [help@sec.gov]
Sent: Friday, May 29, 2009 10:45 AM
To: Sonnenfeld, Kenneth
Subject: SEC Response - File HO1332758

Dear Ms. Langweiler:

The Financial Industry Regulatory Authority (FINRA) has forwarded your complaint to the U.S. Securities and Exchange Commission for response regarding the SEC actions taken against Bernard L. Madoff Investment Securities LLC (BMIS).

As you may already know, the SEC and the U.S. Attorney's Office for the Southern District of New York each have initiated action against the firm. On December 11, 2008, the SEC filed a civil action charging Bernard L. Madoff and BMIS with perpetrating a multi-billion dollar fraud on clients of his firm, and the U.S. Attorney for the Southern District of New York filed a criminal action. The SEC also obtained emergency relief for investors, including an asset freeze. Information on the SEC's civil action is available in our December 11, 2008 press release (<http://www.sec.gov/news/press/2008/2008-293.htm>).

On December 15, 2008, the U.S. District Court for the Southern District of New York granted the application of the Securities Investor Protection Corp. (SIPC) to liquidate the U.S. operations of BMIS and appointed Irving H. Picard, Esq., as the SIPC Trustee charged with liquidating BMIS.

On January 2, 2009, Mr. Picard mailed claim forms to more than 8,000 customers and creditors of BMIS. Claim forms may be downloaded from the SIPC website (www.sipc.org/cases/sipccasesopen.cfm). You may also have claim forms mailed to you by providing your name, address and telephone number to Mr. Picard at (888) 727-8695.

The deadline for filing a claim was March 4, 2009. Claims received by the SIPC Trustee after March 4, 2008, but on or before July 2, 2009, will be subject to delayed processing and less favorable terms. Claims received after July 2, 2009, will be rejected. Claim forms should be submitted by certified mail to:

Irving H. Picard, Esquire
Trustee for Bernard L. Madoff Investment Securities LLC Claims Processing Center 2100
McKinney Avenue Suite 600 Dallas, TX 75201

Please let me know if we may be of any further assistance.

Leslie M. Garner
Attorney
Office of Investor Education and Advocacy U.S. Securities and Exchange Commission

Original e-mail:

From: InvestorComplaints@finra.org [mailto:InvestorComplaints@finra.org]
Sent: Thursday, May 14, 2009 9:31 AM
To: Investor Complaints Processing
Subject: Langweiler, Elaine, 20090182132 cc

Complaint ID: 47165
Date: 9:31:06 AM 5/14/2009
Complaint Type: Investment adviser/financial planner

Account Information:
Brokerage Firm Name: BERNARD L. MADOFF INVESTMENT SECURITIES LLC (CRD#: 2625)
Salesperson Name: david shapiro
Business Address:

Work Phone:

Redacted

Customer Information:

Anonymous Complaint:

No

Your Name:

Ms. Elaine Langweiler

Mailing Address:

Redacted

Work Phone:

Not Provided

Home Phone:

Redacted

Fax:

Not Provided

E-Mail Address:

Redacted

Subscribe to Investor News:

No

Served in Military:

No

Age Range:

85 or older

Complaint Details:

Security Type:

Limited Partnership

Security Symbol:

Not Provided

Security Name:

Not Provided

Activity Dates:

1/1/1993 To: 10/15/2008

Primary:

Other

Secondary:

Poor customer service

Amount in Dispute:

Not Provided

Type of Account:

Not Provided

Complaint Summary:

Elaine Langweiler and Irving Sonnenfeld are 85-year old victims of Bernard Madoff's scheme. Elaine and Irving individually would give sums of money to David Shapiro by check to invest. Shapiro, through a purported partnership called "Shapiro Nominee 3," represented that the money was invested with Bernard Madoff, initially called the Madison Fund. The purported partnership had 2-3 other investors. Shapiro told Elaine and Irving that Madoff was managing their money. Elaine and Irving would receive quarterly or more frequent distributions drawn on David Shapiro's personal account. Neither Elaine nor Irving ever received a partnership agreement from Shapiro, do not know if he is a registered representative or registered financial advisor. Shapiro retained 10% of Elaine's and Irving earnings as his commission.

Documentation Available:

Other cancelled checks; distribution statements

Actions You Have Taken:

Have you complained to the Firm?

Yes

Complaint Info:

David Shapiro is a family friend, with whom Elaine and Irving have spoken about this matter. He told them there would be no restitution since they received back their principal investments over time.

Have you contacted other regulators?

No

Arbitration filed: No

Send Arbitration Info:

No

Have you taken legal action?

No



[Home](#) | [Previous Page](#)

U.S. Securities and Exchange Commission

SEC Charges Bernard L. Madoff for Multi-Billion Dollar Ponzi Scheme

FOR IMMEDIATE RELEASE
2008-293

Washington, D.C., Dec. 11, 2008 — The Securities and Exchange Commission today charged Bernard L. Madoff and his investment firm, Bernard L. Madoff Investment Securities LLC, with securities fraud for a multi-billion dollar Ponzi scheme that he perpetrated on advisory clients of his firm. The SEC is seeking emergency relief for investors, including an asset freeze and the appointment of a receiver for the firm.

The SEC's complaint, filed in federal court in Manhattan, alleges that Madoff yesterday informed two senior employees that his investment advisory business was a fraud. Madoff told these employees that he was "finished," that he had "absolutely nothing," that "it's all just one big lie," and that it was "basically, a giant Ponzi scheme." The senior employees understood him to be saying that he had for years been paying returns to certain investors out of the principal received from other, different investors. Madoff admitted in this conversation that the firm was insolvent and had been for years, and that he estimated the losses from this fraud were at least \$50 billion.

Additional Materials

- ▶ [Order imposing preliminary injunction and continuing asset freeze](#) (Dec. 18, 2008)
 - ▶ [Order freezing assets and granting emergency relief](#) (Dec. 12, 2008)
 - ▶ [SEC Complaint](#)
 - ▶ [Information for customers of Bernard L. Madoff Investment Securities LLC](#)
-

"We are alleging a massive fraud — both in terms of scope and duration," said Linda Chatman Thomsen, Director of the SEC's Division of Enforcement. "We are moving quickly and decisively to stop the fraud and protect remaining assets for investors, and we are working closely with the criminal authorities to hold Mr. Madoff accountable."

Andrew M. Calamari, Associate Director of Enforcement in the SEC's New York Regional Office, added, "Our complaint alleges a stunning fraud that appears to be of epic proportions."

According to regulatory filings, the Madoff firm had more than \$17 billion in assets under management as of the beginning of 2008. It appears that

virtually all assets of the advisory business are missing.

Madoff founded the firm in 1960 and has been a prominent member of the securities industry throughout his career. Madoff served as vice chairman of the NASD, a member of its board of governors, and chairman of its New York region. He was also a member of NASDAQ Stock Market's board of governors and its executive committee and served as chairman of its trading committee.

The complaint charges the defendants with violations of the anti-fraud provisions of the Securities Act of 1933, the Securities Exchange Act of 1934 and the Investment Advisers Act of 1940. In addition to emergency and interim relief, the SEC seeks a final judgment permanently enjoining the defendants from future violations of the antifraud provisions of the federal securities laws and ordering them to pay financial penalties and disgorgement of ill-gotten gains with prejudgment interest.

The SEC's investigation is continuing.

The SEC acknowledges the assistance of the U.S. Attorney's Office for the Southern District of New York.

#

For more information, contact:

Andrew M. Calamari
Associate Director, Enforcement
SEC's New York Regional Office
(212) 336-0042

Alexander Vasilescu
Chief, Trial Unit
SEC's New York Regional Office
(212) 336-0178

<http://www.sec.gov/news/press/2008/2008-293.htm>

[Home](#) | [Previous Page](#)

Modified: 12/12/2008

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008¹

NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

October 22, 2010

IRVING M SONNENFELD

Redacted

Dear IRVING M SONNENFELD:

PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 011045:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78fff (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

PLEASE TAKE NOTICE: If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court **and** the Trustee within **THIRTY DAYS** after October 22, 2010, the date on which the Trustee mailed this notice.

¹ Section 78fff(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78fff(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

PLEASE TAKE FURTHER NOTICE: If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

PLEASE TAKE FURTHER NOTICE: If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

PLEASE TAKE FURTHER NOTICE: You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for
the Southern District of New York
One Bowling Green
New York, New York 10004

and

Irving H. Picard, Trustee
c/o Baker & Hostetler LLP
Attn: Claims Department
45 Rockefeller Plaza
New York, New York 10111

A handwritten signature in black ink, reading "Irving H. Picard". The signature is fluid and cursive, with the first name "Irving" and last name "Picard" clearly legible.

Irving H. Picard

Trustee for the Liquidation of the Business of
Bernard L. Madoff Investment Securities LLC

Baker Hostetler

Baker & Hostetler LLP

3200 National City Center
1900 East 9th Street
Cleveland, OH 44114-3485

T 216.621.0200
F 216.696.0740
www.bakerlaw.com

July 14, 2009

Irving M. Sonnenfeld

Redacted

Courtnei E. Thorpe
direct dial: 216.861.6106
cThorpe@bakerlaw.com

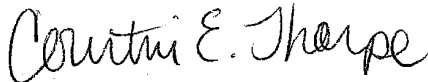
Re: *Hardship Program Application – Account No. 1-S0299*

Dear Mr. Sonnenfeld:

Baker & Hostetler LLP is counsel to Irving H. Picard, the trustee ("Trustee") for the liquidation of Bernard L. Madoff Investment Securities LLC ("BLMIS"). We have received your Hardship Application. We regret to inform you that your claim is not eligible for the Hardship Program. The Hardship Program is only available to individual account holders, and not to corporations, partnerships and other business entities that are account holders of BLMIS or indirect investors through feeder funds or other investment vehicles.

We deeply sympathize with your situation as a victim in this case. Please be assured that the Trustee is working diligently to determine your claim as soon as possible.

Sincerely,



Courtnei E. Thorpe

300023512.1

David Shapiro

Redacted

Telephone:

Fax:

E-Mail:

Redacted

January 16, 2011

Irv Sonnenfeld

Redacted

Dear Irv,

Following was e-mailed to most partners.

Madoff Update

Along with the great winter weather, we have now learned Mr Picard's clawback intentions. He wants to recover all that Madoff paid us from Jan 1 2003 to date, less subsequent reinvestments. I have retained Helen Chaitman of Becker & Poliakoff LLP to represent us.

To date Helen has charged me \$600 per account for general legal work, \$600 for objections to Picard's "determinations", \$1,000 per account for SEC administration claims, and a \$15,000 retainer to defend clawback claims against the four Nominee accounts, a total of \$23,800.

I have allocated those costs to each partner, in proportion to end investment, and am sending each of you a separate e-mail with that allocation. Some have made previous payments toward these expenses, and those are credited. I shall be grateful for your contributions, although I believe that as limited partners you are not obligated to make them.

Regards,



David

Irv

Your share of Nominee legal expenses comes to \$198.00. My records indicate that you have paid \$45.28, leaving a balance of \$152.72.

MCMDP_00010466

Baker Hostetler

Baker & Hostetler LLP

45 Rockefeller Plaza
New York, NY 10111

T 212.589.4200
F 212.589.4201
www.bakerlaw.com

VIA U.S. MAIL

September 28, 2011

Michelle L. Young
direct dial: 212.589.4611
myoung@bakerlaw.com

Re: *Irving H. Picard, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC v. Saul B. Katz, et al.*, Adv. Pro. No. 11-CV-03605 (JSR) in the United States District Court for the Southern District of New York.

Dear BLMIS Customer:

We represent Irving H. Picard, Trustee in the above-referenced action, which is an adversary proceeding brought by the Trustee against Saul B. Katz, et al. Please be advised that the Trustee is preparing to make available in this litigation, and in any litigation withdrawn to federal district court, documents that may contain your personal confidential information via an electronic data room (the "Electronic Data Room"), established pursuant to the Order Establishing Litigation Case Management Procedures for Avoidance Actions and Amending the February 16, 2010 Protective Order [Dkt. 3141], approved by the Bankruptcy Court of the Southern District of New York on November 10, 2010 in *Securities Investor Protection Corporation v. Bernard L. Madoff Investment Securities, LLC, et al.*, Adv. Pro. No. 08-01789 (BRL). These documents have been requested in the ordinary course of discovery by the defendants in the above-referenced action.

As you may know, a governing Litigation Protective Order was entered on June 6, 2011 [Docket No. 4137] (the "Litigation Protective Order") entered in *Securities Investor Protection Corporation v. Bernard L. Madoff Investment Securities, LLC, et al.*, Adv. Pro. No. 08-01789 (BRL). Under the Litigation Protective Order, we are hereby providing notice that the Trustee intends to comply with the discovery request and produce the documents unless you seek a protective order. Pursuant to Paragraph 12, you have ten business days from today's date to take any action, and if we do not hear otherwise by October 12, 2011, you will be deemed to have consented to the Trustee's compliance with his discovery obligations.

Chicago Cincinnati Cleveland Columbus Costa Mesa
Denver Houston Los Angeles New York Orlando Washington, DC

MCMDP_00010467

Madoff Victim Fund
P.O. Box 6310
Syracuse, NY 13217-6310

Petition ID: 7011045

IRVING SONNENFELD

Redacted

www.madoffvictimfund.com.

MADOFF VICTIM FUND
P.O. BOX 6310
SYRACUSE, NY 13217-6310

7011045

IRVING SCHNENFELD

Redacted

Petition ID: 7011045

Please write the above Petition ID number on your claim form. For additional information and answers to Frequently Asked Questions, please visit our website.

www.madoffvictimfund.com

10/18/17

MCMDP_00010469

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

**SECURITIES INVESTOR PROTECTION
CORPORATION,**

Plaintiff-Applicant,

v.

**BERNARD L. MADOFF INVESTMENT
SECURITIES LLC,**

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

Adv. Pro. No. 08-01789 (SMB)

SIPA Liquidation

(Substantively Consolidated)

**DECLARATION OF BIK CHEEMA IN SUPPORT OF THE TRUSTEE'S MOTION
AFFIRMING APPLICATION OF NET INVESTMENT METHOD TO
DETERMINATION OF CUSTOMER TRANSFERS BETWEEN BLMIS ACCOUNTS**

I, Bik Cheema, pursuant to 28 U.S.C. § 1746, declare as follows:

1. I am a member of the Bar of this Court and am in good standing. I am an associate with Baker & Hostetler LLP.
2. Baker & Hostetler LLP is counsel to Irving H. Picard, the Trustee appointed by the United States District Court for the Southern District of New York for the substantively consolidated liquidation of Bernard L. Madoff Investment Securities LLC ("BLMIS"), under the Securities Investor Protection Act ("SIPA"), and for the estate of Bernard L. Madoff ("Madoff").
3. I make this declaration based upon the information and knowledge acquired during the course of Baker & Hostetler LLP's engagement as counsel to the Trustee, as described herein.

Claims, Determinations, Objections, Transfers and Withdrawals

4. During the course of my work on this matter, I have personally reviewed thousands of documents, including claims filed by claimants, determination letters issued by the Trustee in response to these claims, objections filed by claimants in response to the Trustee's determination of their claims, requests for transfers and withdrawals by claimants.

5. As part of my review, I reviewed all objections received by the Trustee in response to his determinations of all timely-filed claims. Most of the objections I reviewed had more than one basis for objection, but for purposes of the Trustee's Motion (the "Motion") seeking entry of an order affirming the application of the Net Investment Method, as defined in the Motion, to transfers between BLMIS customer accounts, I have focused on the objections raised by claimants (the "Objecting Claimants") arguing that the Trustee improperly adjusted amounts transferred between BLMIS accounts to reflect the amount of principal in the transferor account at the time of the transfer (the "Inter-Account Transfer Objections").

6. Based upon my review, a document entitled "Description of Claimants Raising Inter-Account Transfer Issue" was prepared, attached hereto as Exhibit 1.

7. Also based upon my review, a document entitled "Claimants With No Adjusted Inter-Account Transfers" was prepared, attached hereto as Exhibit 2.

Basis of Personal Knowledge

8. Specifically, in order to populate Exhibits 1 and 2, I reviewed claims filed by the Objecting Claimants, reviewed the respective determination letters issued by the Trustee, and reviewed the respective objections by the Objecting Claimants, in addition to reviewing the Objecting Claimants' account files as contained in the books and records of BLMIS.

9. In order to perform my review, I accessed and reviewed documents using PACER in addition to documents filed by the Objecting Claimants with the Trustee pursuant to this Court's Claims Procedures Order, determination letters issued by the Trustee, objections to those determinations by Objecting Claimants filed with the Court, and the books and records of BLMIS.

I declare under penalty of perjury that the foregoing is true and correct.

Dated: New York, New York
March 31, 2014

BAKER & HOSTETLER LLP

By: /s/ Bik Cheema

Baker & Hostetler LLP

45 Rockefeller Plaza

New York, NY 10111

Telephone: (212) 589-4200

Facsimile: (212) 589-4201

Email: bcheema@bakerlaw.com

EXHIBIT 1
 Description of Claimants Raising Inter-Account Transfer Issue¹

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
1994 Trust For The Children Of Stanley And Pamela Chais C/O Andrew Sherman, Silis Cummis & Gross	013845	Yes	1C1215	1994 Trust For The Children Of Stanley And Pamela Chais	2752	Silis Cummis & Gross, P.S.
1994 Trust For The Children Of Stanley And Pamela Chais C/O Andrew Sherman, Silis Cummis & Gross	013846	Yes	1C1270	The 1996 Tst For The Children Of Pamela & Stanley Chais	2934	Silis Cummis & Gross, P.S.
Abe Schy Custodian For Barak Schy	010981	Yes	1ZB481	Schy Family Partnership	3599	Becker & Pollakoff, LLP
Abe Schy Custodian For Joshua Schy	010983	Yes	1ZB481	Schy Family Partnership	3599	Becker & Pollakoff, LLP
Abe Schy Custodian For Joshua Schy	100207	Yes	1ZB481	Schy Family Partnership	3599	Becker & Pollakoff, LLP
Abe Schy Custodian For Mia Schy	100211	Yes	1ZB481	Schy Family Partnership	3599	Becker & Pollakoff, LLP
Adam Lazarus	010747	No	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Adam Lazarus	100198	No	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Adele Shapiro	003656	No	1S0295	Adele Shapiro	2707	Becker & Pollakoff, LLP
Alan J Winters	000013	No	1W0092	Alan J Winters Profit Sharing Trust	3600	Bernfield, Dematteo & Bernfield, LLP
Alan Roth	002214	No	1R0190	Millennium Trust Company, LLC FBO Alan Roth (J5683)	2861	Becker & Pollakoff, LLP
Alan Winters	000014	Yes	1W0091	Alan Winters & Janet Winters Family	2340	Bernfield, Dematteo & Bernfield, LLP
Albert Andel	012708	Yes	1A0096	Albert Angel	2311	Skoloff & Wolfe, P.C.
Albert Angel	012709	Yes	1A0032	Albert Angel	2311	Skoloff & Wolfe, P.C.
Albert D. Angel And Carole A. Angel J/T Wros	012706	Yes	1A0099	Albert D Angel & Carole A Angel J/T Wros	2311	Skoloff & Wolfe, P.C.

¹ This exhibit excludes claims and objections raising the Inter-Account Transfer Issue that have since been withdrawn.

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Albert D. Angel And Carole A. Angel J/T Wros	012707	Yes	1A0033	Albert D Angel & Carole A Angel J/T Wros	2311	Skoloff & Wolfe, P.C.
Alexander Strotkin	006103	Yes	1S0102	Alexander Strotkin	2349	Pro Se Filing
Allan R Hurwitz	001261	Yes	1H0093	Allan R Hurwitz	2803	Pro Se Filing
Allan R Hurwitz	001056	Yes	1H0097	Allan R Hurwitz Trustee Trust 'A' U/W G Hurwitz	3134	Pro Se Filing
Allan R Hurwitz	001056	Yes	1H0097	Allan R Hurwitz Trustee Trust 'A' U/W G Hurwitz	920	Pro Se Filing
Allan R. Hurwitz & Barbara J. Hurwitz J/T Wros	001028	Yes	1H0094	Allan R Hurwitz & Barbara J Hurwitz J/T Wros	2805	Pro Se Filing
Allan R. Hurwitz Revocable Trust	001055	Yes	1G0229	Allan R Hurwitz Revocable Trst	1089	Pro Se Filing
Alvin Gindel Revocable Trust Agreement	010207	Yes	1G0396	Alvin Gindel Revocable Trust Agreement	863	Snr Denton Us LLP
Amanda Alpern Trust DTD 4/12/08	002919	No	1A0067	Amanda Alpern Trust Dtd 4/12/08	2363	Becker & Poliakoff, LLP
Amber Lazarus	010749	No	1ZB375	The Lazarus Investment Group	3598	Becker & Poliakoff, LLP
Amber Lazarus	100202	No	1ZB375	The Lazarus Investment Group	3598	Becker & Poliakoff, LLP
Amy Joel	008920	Yes	1B0258	Amy Joel	2947	Jaspan Schlesinger LLP
Amy Luria Partners LLC	013293	Yes	1L0323	Amy Luria Partners LLC C/O Bernard L Madoff	3030	Jaspan Schlesinger LLP
Amy Luria Partners LLC	070221	Yes	1L0323	Amy Luria Partners LLC C/O Bernard L Madoff	3030	Jaspan Schlesinger LLP
Amy Luria Partners LLC	100443	Yes	1L0323	Amy Luria Partners LLC C/O Bernard L Madoff	3030	Jaspan Schlesinger LLP
Andrew Ross Samuels	004971	Yes	1S0495	Andrew Ross Samuels	2965	Jaspan Schlesinger LLP
Andrew Ross Samuels	070193	Yes	1S0495	Andrew Ross Samuels	2965	Jaspan Schlesinger LLP
Angela Tietnick	009167	Yes	1T0040	Angela Tietnick	2076	Becker & Poliakoff, LLP
Angela Tietnick	009591	Yes	1T0040	Angela Tietnick	2076	Becker & Poliakoff, LLP
Angela Tietnick	100136	Yes	1T0040	Angela Tietnick	2076	Becker & Poliakoff, LLP
Angela Tietnick	100153	Yes	1T0040	Angela Tietnick	2076	Becker & Poliakoff, LLP
Arthur Blecker & Sofie Blecker J/T Wros	003906	No	1B0157	Arthur Blecker & Sofie Blecker J/T Wros	3072	Pro Se Filing

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Arthur Friedman & Ruth Friedman (Joint Tenants With Right Of Survivorship)	009929	Yes	1KW004	Arthur Friedman & Ruth Friedman J/T Wros	2827	Pro Se Filing
Aspen Fine Arts Co. C/O Kryper	009406	Yes	1EM381	Aspen Fine Arts Co	707	Milberg LLP
Aspen Fine Arts Co. Defined Contribution Plan	009020	Yes	1EM320	Aspen Fine Arts Co Defined Contribution Plan	898	Milberg LLP
Aspen Fine Arts Co. Defined Contribution Plan	011427	Yes	1EM320	Aspen Fine Arts Co Defined Contribution Plan	898	Milberg LLP
Audra Lazarus	010729	No	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Audra Lazarus	100197	No	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Barbar Kollikoff Harman	001418	Yes	1H0099	Barbara Kollikoff Harman	2443	Stroock & Stroock & Lavan LLP
Barbara Engel	000869	No	1E0143	Barbara Engel	2353	Becker & Pollakoff, LLP
Barbara Harris	002704	No	1H0092	Barbara Harris	2753	Milberg LLP
Barbara Harris	009602	No	1H0092	Barbara Harris	2753	Milberg LLP
Barbara L. Laird	008623	No	1ZA365	Barbara L Laird	2474	Lax & Neville, LLP
Barbara Schlossberg	000328	No	1ZG022	Barbara Schlossberg	706	Milberg LLP
Barbara Schlossberg	014258	No	1ZG022	Barbara Schlossberg	706	Milberg LLP
Ben Heller	013764	Yes	1H0022	Ben Heller	1082	Phillips Nizer LLP
Ben Heller	013765	Yes	1H0022	Ben Heller	1082	Phillips Nizer LLP
Ben Heller	014058	Yes	1H0022	Ben Heller	1082	Phillips Nizer LLP
Ben Heller	014059	Yes	1H0022	Ben Heller	1082	Phillips Nizer LLP
Benjamin Paul Chasalow 1999 Trust	005290	Yes	1C1291	Benjamin Paul Chasalow 1999 Trust	2924	Sills Cummis & Gross P.S.
Benjamin Paul Chasalow 1999 Trust	005290	Yes	1C1291	Benjamin Paul Chasalow 1999 Trust	2924	Sills Cummis & Gross P.S.
Benjamin Paul Chasalow 1999 Trust	005290	Yes	1C1291	Benjamin Paul Chasalow 1999 Trust	2983	Sills Cummis & Gross P.S.
Benjamin Paul Chasalow 1999 Trust C/O Andrew Sherman, Sills Cummis & Gross	013851	Yes	1C1291	Benjamin Paul Chasalow 1999 Trust	2924	Sills Cummis & Gross P.S.
Benjamin Paul Chasalow 1999 Trust C/O Andrew Sherman, Sills Cummis & Gross	013851	Yes	1C1291	Benjamin Paul Chasalow 1999 Trust	2983	Sills Cummis & Gross P.S.

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Benjamin Paul Chasalow Transferee Trust #1 C/O Andrew Sherman, Silis Cummis & Gross	013842	Yes	1C1307	Benjamin Paul Chasalow Transferee #1	2938	Silis Cummis & Gross P.S.
Benjamin Rechler Trust C/O Mitchell Rechler	004179	Yes	1R0081	The Benjamin Ian Rechler Trust	2838	Becker & Pollakoff, LLP
Benjamin T. Heller Irrevocable Trust	014192	Yes	1H0166	Benjamin T Heller Irrevocable Trust	2772	Becker & Pollakoff, LLP
Benjamin T. Heller Irrevocable Trust	014193	Yes	1H0166	Benjamin T Heller Irrevocable Trust	2772	Becker & Pollakoff, LLP
Benjamin T. Heller Irrevocable Trust	014251	Yes	1H0166	Benjamin T Heller Irrevocable Trust	2772	Becker & Pollakoff, LLP
Benjamin T. Heller Irrevocable Trust	014252	Yes	1H0166	Benjamin T Heller Irrevocable Trust	2772	Becker & Pollakoff, LLP
Bertha Berkowitz I/T/F Calvin Berkowitz	007963	Yes	1ZA224	Bertha Berkowitz I/T/F Calvin Berkowitz	914	Pro Se Filing
Beth P. Feldman As Trustee	002837	Yes	1F0129	Beth P Feldman As Trustee Dated 11/15/82	2112	Becker & Pollakoff, LLP
Beth P. Feldman As Trustee	002838	Yes	1F0129	Beth P Feldman As Trustee Dated 11/15/82	2112	Becker & Pollakoff, LLP
Beth P. Feldman As Trustee	002837	Yes	1F0129	Beth P Feldman As Trustee Dated 11/15/82	3413	Becker & Pollakoff, LLP
Beth P. Feldman As Trustee	002838	Yes	1F0129	Beth P Feldman As Trustee Dated 11/15/82	3413	Becker & Pollakoff, LLP
Beth P. Feldman As Trustee Dtd 11/15/82	003253	Yes	1F0129	Beth P Feldman As Trustee Dated 11/15/82	2112	Becker & Pollakoff, LLP
Beth P. Feldman As Trustee Dtd 11/15/82	003254	Yes	1F0129	Beth P Feldman As Trustee Dated 11/15/82	2112	Becker & Pollakoff, LLP
Beth P. Feldman As Trustee Dtd 11/15/82	003253	Yes	1F0129	Beth P Feldman As Trustee Dated 11/15/82	3413	Becker & Pollakoff, LLP
Beth P. Feldman As Trustee Dtd 11/15/82	003254	Yes	1F0129	Beth P Feldman As Trustee Dated 11/15/82	3413	Becker & Pollakoff, LLP
Bkhr Partners Harvey E Rothenberg, Partner	011394	Yes	1CM568	Harvey E Rothenberg Rev Trust Uad 7/24/02	2278	Pro Se Filing
Blake Palmer	014215	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Blake Palmer	014215	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Boyer H. Palmer	012176	Yes	1EM145	Fern C Palmer & Boyer H Palmer Tees Fern C Palmer Rev Tst	2365	Becker & Pollakoff, LLP
Boyer H. Palmer	012176	Yes	1EM145	Fern C Palmer & Boyer H Palmer Tees Fern C Palmer Rev Tst	3502	Becker & Pollakoff, LLP
Boyer H. Palmer	012176	Yes	1EM145	Fern C Palmer & Boyer H Palmer Tees Fern C Palmer Rev Tst	3508	Becker & Pollakoff, LLP
Boyer Palmer	012944	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Boyer Palmer	012944	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Bret Palmer	012945	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Bret Palmer	012945	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Brian H. Gerber	002418	Yes	1G0247	Brian H Gerber	2344	Belows And Bellows, P.C.
Brian Ross	005740	No	1R0217	Brian Ross	1997	Duane Morris LLP
Bricklayers	005286	Yes	1C1284	Ari Chais, 1999 Trust	2923	Sills Cummis & Gross P.S.
Bricklayers	013855	Yes	1C1284	Ari Chais, 1999 Trust	2923	Sills Cummis & Gross P.S.
Bricklayers	005286	Yes	1C1284	Ari Chais, 1999 Trust	2982	Sills Cummis & Gross P.S.
Bricklayers	013855	Yes	1C1284	Ari Chais, 1999 Trust	2982	Sills Cummis & Gross P.S.
Brooke Simonds	002754	No	1S0348	Brooke Simonds	2496	Pro Se Filing
Bruce Leventhal	002415	Yes	1CM941	Bruce Leventhal 2001 Irrevocable Trust	2084	Pro Se Filing
Bruce N Palmer	000885	Yes	1EM494	Bruce N Palmer	2364	Becker & Pollakoff, LLP
Bruce Wilpon	009950	Yes	1KW118	Bruce Wilpon	2760	Pro Se Filing
Brulene Associates Inc.	011192	No	1B0273	Brulene Associates Inc	2243	Kramer Levin Natals & Frankel LLP
C.E.H. Limited Partnership	015133	Yes	1EM313	C E H Limited Partnership	2424	Duane Morris LLP
Calea Family Trust 7/6/00	006665	Yes	1CM942	Edward F Calea Tise Calea Family Trust 7/6/00	1234	Latham & Watkins LLP
Caille A. Ostenson/Murray	012927	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Calle A. Ostenson/Murray	012927	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Calvin Berkowitz	002605	No	1ZB453	Berkowitz Blau Foundation Inc	564	Phillips Nizer LLP
Calvin Berkowitz	002607	Yes	1ZA225	Calvin Berkowitz I/T/F Bertha Berkowitz	916	Pro Se Filing
Calvin Berkowitz And Bertha Berkowitz	002606	Yes	1ZA222	Calvin Berkowitz And Bertha Berkowitz	917	Pro Se Filing
Carla Szymanski Rev Tst Dated 11/25/97	013612	No	1ZB262	Carla Szymanski Rev Tst Dated 11/25/97	614	Phillips Nizer LLP
Carol Angel	011748	Yes	1A0034	Carol Angel	2898	Skoloff & Wolfe, P.C.
Carol Fisher	000487	No	1F0116	Carol Fisher	2369	Becker & Pollakoff, LLP
Carol Fisher	000488	No	1F0116	Carol Fisher	2369	Becker & Pollakoff, LLP
Carol Kamenstein	000184	Yes	1CM914	Carol Kamenstein	550	Phillips Nizer LLP
Carole Angel	013088	Yes	1A0100	Carole Angel	2311	Skoloff & Wolfe, P.C.
Carole Lipkin	012861	Yes	1L0035	Carole Lipkin	3090	Becker & Pollakoff, LLP
Chalek Associates LLC	006938	Yes	1C1229	Chalek Associates LLC	2881	Becker & Pollakoff, LLP
Charlotte Jasnaw Geronemus	000244	Yes	1ZB541	Charlotte Jasnaw Geronemus Ttee Urt Did 12/23/87 FBO	2269	Greenburg Traurig, LLP
Charlotte Jasnaw Geronemus	000245	Yes	1ZB541	Charlotte Jasnaw Geronemus Ttee Urt Did 12/23/87 FBO	2269	Greenburg Traurig, LLP
Chloe Chais Transferee Trust	013790	Yes	1C1305	Chloe Chais Transferee #1 Albert Angel Trustee	2302	Sills Cummis & Gross P.S.
Chloe Frances Chais 1994 Trust	013789	Yes	1C1212	Chloe Francis Chais 1994 Trust	2928	Sills Cummis & Gross P.S.
Chloe Frances Chais 1994 Trust	013789	Yes	1C1212	Chloe Francis Chais 1994 Trust	2984	Sills Cummis & Gross P.S.
Chloe Frances Chais 1994 Trust C/O Michael Swartz, Hennigan, Bennett & Dorman LLP	006138	Yes	1C1212	Chloe Francis Chais 1994 Trust	2928	Sills Cummis & Gross P.S.
Chloe Frances Chais 1994 Trust C/O Michael Swartz, Hennigan, Bennett & Dorman LLP	006138	Yes	1C1212	Chloe Francis Chais 1994 Trust	2984	Sills Cummis & Gross P.S.
Christine A. Murray	003364	No	1EM491	Christine A Murray	2005	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Dana Lefavor	012931	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Dana Lefavor	012931	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Daniel H Leeds 1997 Grantor	006028	Yes	1L0182	Daniel H Leeds 1997 Grantor Retained Annuity Trust	2315	Dow Lohnes PLLc
Daren Weeks Fryburg	003243	No	1F0107	Daren Weeks Fryburg	2493	Pro Se Filing
David Chalek	014189	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
David Drucker	010715	No	1S0297	David Shapiro Nominee	2714	Becker & Pollakoff, LLP
David Gross	000057	Yes	1CM302	Millennium Trust Company, LLC FBO David Gross (10091)	2057	Pro Se Filing
David Gross	000057	Yes	1CM302	Millennium Trust Company, LLC FBO David Gross (10091)	2134	Pro Se Filing
David Gross	000057	Yes	1CM302	Millennium Trust Company, LLC FBO David Gross (10091)	3133	Pro Se Filing
David Ivan Lustig Ira	013425	Yes	1ZR297	Millennium Trust Company, LLC FBO David Ivan Lustig (12300)	1077	Reisman, Peirez & Reisman LLP
David M. Kalz	009908	Yes	1KW201	David M Kalz	2759	Davis Polk & Wardwell LLP
David Markin	009174	Yes	1C1324	Southpac Tst International Inc Trustee Of The David R Markin	811	Snr Denton Us LLP
David R. Markin 2003 Trust Southpac Intl Tst Ltd. Trustee	002656	Yes	1C1324	Southpac Tst International Inc Trustee Of The David R Markin	811	Snr Denton Us LLP
David Richman And Jay Rosen Executors	002859	No	1L0108	Estate Of Richard A Luria David Richman And Jay Rosen	3035	Jaspan Schlesinger LLP
David Richman And Jay Rosen Executors	002859	No	1L0108	Estate Of Richard A Luria David Richman And Jay Rosen	3036	Jaspan Schlesinger LLP
David Schrader, Esquire	100082	Yes	1ZB316	George N Paris	849	Gibbons P.C.
David Schwartzman	004155	No	1S0543	Millennium Trust Company, LLC FBO David Schwartzman (120717)	2350	Greene Espel PLLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
David Shapiro	003851	Yes	1S0297	David Shapiro Nominee	2713	Becker & Pollakoff, LLP
David Shapiro	003851	Yes	1S0297	David Shapiro Nominee	2714	Becker & Pollakoff, LLP
David Shapiro	003851	Yes	1S0297	David Shapiro Nominee	2714	Becker & Pollakoff, LLP
David Shapiro	003849	Yes	1S0298	David Shapiro Nominee #2	2715	Becker & Pollakoff, LLP
David Shapiro	003849	Yes	1S0298	David Shapiro Nominee #2	2715	Becker & Pollakoff, LLP
David Shapiro	003850	Yes	1K0118	David Shapiro Nominee 4	2722	Becker & Pollakoff, LLP
David Shapiro	003849	Yes	1S0298	David Shapiro Nominee #2	2775	Becker & Pollakoff, LLP
David Shapiro	003849	Yes	1S0298	David Shapiro Nominee #2	2777	Becker & Pollakoff, LLP
David Shapiro	003849	Yes	1S0298	David Shapiro Nominee #2	2777	Becker & Pollakoff, LLP
David Shapiro Nominee	003848	Yes	1S0299	David Shapiro Nominee Nominee #3	2718	Becker & Pollakoff, LLP
Davina Greenspan & Lori Friedman Jt Wros	008425	No	1ZA194	Davina Greenspan Lori Friedman Jt Wros	864	Snr Denton Us LLP
Davina Greenspan And Lori Friedman	001974	No	1ZA194	Davina Greenspan Lori Friedman Jt Wros	864	Snr Denton Us LLP
Debbie Lynn Lindenbaum	003283	No	1C1011	Debbie Lynn Lindenbaum	2879	Certlman, Balin, Adler & Hyman LLP
Deborah Ashenfarb	006121	No	1CM739	Millennium Trust Company, LLC FBO Sidney Dorfman DEC'D	824	Pro Se Filing
Deborah Katz	001841	No	1KW202	Deborah G Katz And Deborah Katz As Cust For	2013	Milberg LLP
Deborah Kaye Stern	009124	No	1ZA364	Deborah Kaye	2510	Lax & Neville, LLP
Deborah Shapiro	003855	No	1S0301	Deborah Shapiro	2725	Becker & Pollakoff, LLP
Debra Brown	000294	No	1B0195	Debra Brown	2771	Becker & Pollakoff, LLP
Delia Gail Rosenberg	011095	Yes	1R0250	Delia Gail Rosenberg	2509	Milberg LLP
Diane Sloves As Tstee Under Rev Tst Agmt Dtd 10/30/00	009122	No	1S0274	Diane Sloves As Tstee Under Rev Tst Agreement Dtd 10/13/00	2409	Milberg LLP
Do Stay Inc	002266	Yes	1D0040	Do Stay Inc	2820	Milberg LLP
Do Stay Inc.	014255	Yes	1D0040	Do Stay Inc	2820	Milberg LLP
Don H. Rinsky	014281	Yes	1CM155	Don H Rinsky	2244	Kramer Levin Natfalis & Frankel LLP
Doron Schy	012681	Yes	1ZB481	Schy Family Partnership	3599	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Doron Schy	100276	Yes	1ZB481	Schy Family Partnership	3599	Becker & Pollakoff, LLP
Dos Bis Family Partnership Lp#2	012099	Yes	1CM644	Dos Bis Family Partnership L.P. #2	936	Pro Se Filing
Douglas Shapiro	006611	Yes	1EM186	Douglas Shapiro	2406	Duane Morris LLP
Edith Schur	006845	Yes	1S0376	Edith A Schur	933	Bernfeld, Dematteo & Bernfeld, LLP
Edmund A Nahas	006354	No	1N0022	Edmund A Nahas	2476	Becker & Pollakoff, LLP
Edward A Zraick Jr, Patricia Deluca, Karen M Rich TIC	002107	Yes	1Z0037	Edward A Zraick Jr, Patricia Deluca, Karen M Rich TIC	2445	Becker & Pollakoff, LLP
Edward A. Zraick Jr. & Nancy Zraick TIC	002108	Yes	1Z0020	Edward A Zraick Jr And Nancy Zraick TIC	2355	Becker & Pollakoff, LLP
Edward Simonds (Trustee)	002756	No	1S0347	Edward L Simonds Trustee Edward L Simonds Living Trust	2495	Pro Se Filing
Edwin A. Grant II	000505	No	1G0329	Millennium Trust Company, LLC FBO Edwin A Grant II (\$8073)	2430	Becker & Pollakoff, LLP
Edwin A. Grant II	001030	No	1G0329	Millennium Trust Company, LLC FBO Edwin A Grant II (\$8073)	2430	Becker & Pollakoff, LLP
Edwin Michalove	000755	Yes	1M0105	Edwin Michalove	2338	Becker & Pollakoff, LLP
Elaine Langweiler	010899	No	1S0299	David Shapiro Nominee Nominee #3	2718	Becker & Pollakoff, LLP
Elaine R. Schaffer	009540	No	1ZA309	Elaine R Schaffer Or Carla R Hurshorn Ttees	577	Phillips Nizer LLP
Elaine R. Schaffer	100140	No	1ZR013	Nic & Co. FBO Elaine Ruth Schaffer \$1569	578	Phillips Nizer LLP
Elaine Ruth Schaffer	009541	No	1ZR013	NTC & Co. FBO Elaine Ruth Schaffer \$21569	578	Phillips Nizer LLP
Elbert R. Brown Trustee	002263	Yes	1B0073	Elbert R Brown Trustee U/T/D 12/29/88	2288	Milberg LLP
Elbert R. Brown Trustee	002263	Yes	1B0073	Elbert R Brown Trustee U/T/D 12/29/88	3122	Milberg LLP
Elbert R. Brown Trustee U/T/D 12/29/88	014263	Yes	1B0073	Elbert R Brown Trustee U/T/D 12/29/88	2288	Milberg LLP
Elbert R. Brown Trustee U/T/D 12/29/88	014263	Yes	1B0073	Elbert R Brown Trustee U/T/D 12/29/88	3122	Milberg LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Elizabeth Cavanaugh As Participant In Daprex Profit Sharing Plan And 401K Plan	013648	No	1D0053	Daprex Profit Sharing And 401K Plan	2860	Becker & Pollakoff, LLP
Elizabeth Cavanaugh As Participant In Daprex Profit Sharing Plan And 401K Plan	013648	No	1D0053	Daprex Profit Sharing And 401K Plan	3401	Becker & Pollakoff, LLP
Elizabeth Harris Brown	001691	No	1B0140	Elizabeth Harris Brown	2146	Milberg LLP
Elizabeth Harris Brown	015095	No	1B0140	Elizabeth Harris Brown	2146	Milberg LLP
Ellen Bernfeld	002194	Yes	1B0221	NTC & Co. FBO Ellen Bernfeld (12796)	2324	Becker & Pollakoff, LLP
Ellen Bernfeld	014649	Yes	1EM013	Bernfeld Joint Venture Herbert Bernfeld Managing Ptnr	2835	Becker & Pollakoff, LLP
Ellen Bernfeld	014649	Yes	1EM013	Bernfeld Joint Venture Herbert Bernfeld Managing Ptnr	3168	Becker & Pollakoff, LLP
Ellen Bernfeld	002194	Yes	1B0221	NTC & Co. FBO Ellen Bernfeld (12796)	845	Phillips Nizer LLP
Elliot G. Sagor	000148	No	1S0437	Millennium Trust Company, LLC FBO Elliot G Sagor (13118)	3553	Pro Se Filing
Emily Chais Issue Trust No.1	013777	Yes	1C1024	Emily Chais Issue Trust 1 Al Angel Trustee	2930	Sills Curritis & Gross P.S.
Emily Chais Issue Trust No.2	013778	Yes	1C1025	Emily Chais Issue Trust 2 Al Angel Trustee	2932	Sills Curritis & Gross P.S.
Eric Lipkin	013023	Yes	1L0092	Eric Lipkin	2403	Becker & Pollakoff, LLP
Estate Of Gabriel Friedman C/O Richard Friedman Executor	011388	Yes	1F0227	Estate Of Gabriel Friedman C/O Of Richard Friedman	2871	Becker & Pollakoff, LLP
Estate Of Helen Shurman C/O Somenschein Nath & Rosenthal LLP	008358	Yes	1S0509	Estate Of Helen Shurman William Shurman & Paul Shurman	741	Snr Denton Us LLP
Estate Of Leonard J. Schreier	009862	Yes	1KW372	Estate Of Leonard J Schreier C/O Schulte Roth & Zabel	2763	Pro Se Filing
Evelyn Berezin Wlenitz	011570	Yes	1CM806	Evelyn Berezin Wlenitz	2422	Pro Se Filing
Feisen Moscoe Company Profit Sharing Tst Dtd 5/28/76	005548	No	1EM057	Feisen Moscoe Company Profit Sharing Tst Dtd 5/28/76	2104	Siegel Brill Greupner Duffy & Foster P.A.

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Fern C Palmer & Boyer H Palmer, Ttees Fern C Palmer Rev Tst	009582	Yes	1EM145	Fern C Palmer & Boyer H Palmer Ttees Fern C Palmer Rev Tst	2365	Becker & Pollakoff, LLP
Fern C Palmer & Boyer H Palmer, Ttees Fern C Palmer Rev Tst	009582	Yes	1EM145	Fern C Palmer & Boyer H Palmer Ttees Fern C Palmer Rev Tst	3502	Becker & Pollakoff, LLP
Fern C Palmer & Boyer H Palmer, Ttees Fern C Palmer Rev Tst	009582	Yes	1EM145	Fern C Palmer & Boyer H Palmer Ttees Fern C Palmer Rev Tst	3508	Becker & Pollakoff, LLP
Fern C, Palmer	012193	Yes	1EM145	Fern C Palmer & Boyer H Palmer Ttees Fern C Palmer Rev Tst	2365	Becker & Pollakoff, LLP
Fern C, Palmer	012193	Yes	1EM145	Fern C Palmer & Boyer H Palmer Ttees Fern C Palmer Rev Tst	3502	Becker & Pollakoff, LLP
Fern C, Palmer	012193	Yes	1EM145	Fern C Palmer & Boyer H Palmer Ttees Fern C Palmer Rev Tst	3508	Becker & Pollakoff, LLP
Florence Roth	000712	No	1R0047	Florence Roth	2449	Milberg LLP
For Account Of Deborah G. Katz C/O Deborah Katz	015096	No	1KW202	Deborah G Katz And Deborah Katz As Cust For	2013	Milberg LLP
For Account Of Eleanore C Unflat C/O Magnus Unflat	010623	Yes	1U0019	Eleanore C Unflat Or Magnus A Unflat Co-Trustees	2429	Duane Morris LLP
For Account Of Eleanore C Unflat C/O Magnus Unflat	005095	Yes	1U0019	Eleanore C Unflat Or Magnus A Unflat Co-Trustees	2429	Duane Morris LLP
Frances Reiss	013179	Yes	1C1229	Chalek Associates LLC	2881	Becker & Pollakoff, LLP
Frances Reiss	013179	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
Fred Wilpon	009938	Yes	1KW067	Fred Wilpon	2331	Davis Polk & Wardwell LLP
Frederick H Mandel (Partner)	014728	No	1S0299	David Shapiro Nominee Nominee #3	2718	Becker & Pollakoff, LLP
Frieda Low	001543	Yes	1L0147	Frieda Low	2003	Becker & Pollakoff, LLP
Friedman Credit Shelter Trust	011741	No	1F0226	Friedman Credit Shelter Trust	2870	Becker & Pollakoff, LLP
Gary Albert	009762	Yes	1CM015	Gary Albert	2192	Milberg LLP
Gary L Harnick	001209	Yes	1H0121	Millennium Trust Company, LLC FBO Gary L Harnick (11261)	893	Milberg LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Gary L. Harnick	014626	Yes	1H0121	Millennium Trust Company, LLC FBO Gary L Harnick (1261)	893	Millberg LLP
George & Theresa Lawrence TIC Trustees	003372	No	1L0128	Lawrence Family Trust George Lawrence	2444	Becker & Poliakoff, LLP
George Jacobs Trust	001966	No	1CM090	George Jacobs Test Did 12/88 George Jacobs Ttee	2036	Pro Se Filing
George N Faris	000688	Yes	1ZB316	George N Faris	849	Gibbons P.C.
George N. Faris C/O David A. Schrader, Esq.	000907	Yes	1ZB316	George N Faris	849	Gibbons P.C.
George T. Nierenberg And Rhona Silverbush JWros	013966	No	1N0032	George Nierenberg & Rhona Silverbush J/T Wros	3818	Pryor Cashman LLP
Gerald J. Berkman Ira	001434	No	1CM280	Millennium Trust Company, LLC FBO Gerald Berkman (8552)	819	Freedman & Co., Cpa, P.C.
Glenn Rechler	007218	Yes	1R0242	Glen Rechler And Tracey Weaver J/T Wros	2839	Becker & Poliakoff, LLP
Glenn Rechler	007504	Yes	1R0242	Glen Rechler And Tracey Weaver J/T Wros	2839	Becker & Poliakoff, LLP
Gold Investment Club	004928	Yes	1G0270	Gold Investment Club	2457	Bernfield, Dematteo & Bernfield, LLP
Gregory And Diana Nero	010254	No	1KWA28	Gregory Nero Diana Nero Ji Wros	2045	Pro Se Filing
Gunther K. Unflat	000732	Yes	1U0018	Millennium Trust Company, LLC FBO Gunther K Unflat (10366)	704	Phillips Nizer LLP
Gunther K. Unflat	000733	Yes	1U0018	Millennium Trust Company, LLC FBO Gunther K Unflat (10366)	704	Phillips Nizer LLP
Gunther Unflat	000734	Yes	1U0017	Gunther Unflat & Margaret Unflat J/T Wros	2113	Becker & Poliakoff, LLP
Gunther Unflat	000735	Yes	1U0017	Gunther Unflat & Margaret Unflat J/T Wros	2113	Becker & Poliakoff, LLP
H & E Company Partnership	002165	Yes	1EM078	H & E Company A Partnership	3473	Becker & Poliakoff, LLP
Harmon Family Limited Partnership	005630	Yes	1EM404	Harmon Family Limited Partnership	2150	Diane Morris LLP
Harriet Rubin	002072	No	1R0181	Harriet Rubin Sole Ttee Or Her Successors In Trust, Under The	2583	Pro Se Filing

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Harvey E Rothenberg	011395	Yes	1CM558	Harvey E Rothenberg Rev Trust Uad 7/24/02	2278	Pro Se Filing
Harvey L. Werner Revocable Living Trust U/A/D 8/31/82	014341	Yes	1EM224	Harvey L. Werner Rev Trust Violet M And Jeffrey R Werner	3152	Bernfeld, Demaille & Bernfeld, LLP
Heidi Holmers	012928	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Heidi Holmers	012928	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Helen Schupak	006933	No	1ZR331	Millennium Trust Company, LLC FBO Helen Schupak (93010)	1785	Pro Se Filing
Henry R Bessell Trust	001497	No	1ZB407	Henry R Bessell Trust U/D/T Dated October 10, 2000	566	Phillips Nizer LLP
Henry R Bessell Trust	001497	No	1ZB407	Henry R Bessell Trust U/D/T Dated October 10, 2000	604	Phillips Nizer LLP
Henry Siegman	008249	No	1S0425	Henry Siegman Susan Eisenstat J/T Wfros	1181	Phillips Nizer LLP
Henry Siegman	008250	No	1S0278	Millennium Trust Company, LLC FBO Henry Siegman (13934)	1632	Phillips Nizer LLP
Herbert Barbanel & Alice Barbanel J/T Wfros	001393	Yes	1B0168	Herbert Barbanel & Alice Barbanel J/T Wfros	1081	Phillips Nizer LLP
Herbert Bernfeld Residuary Trust	014646	Yes	1EM013	Bernfeld Joint Venture Herbert Bernfeld Managing Ptnr	2835	Becker & Pollakoff, LLP
Herbert Bernfeld Residuary Trust	014646	Yes	1EM013	Bernfeld Joint Venture Herbert Bernfeld Managing Ptnr	3168	Becker & Pollakoff, LLP
Herbert Silvera	004588	No	1S0475	Herbert Silvera	613	Phillips Nizer LLP
Hilda Drucker	010228	No	1S0297	David Shapiro Nominee	2714	Becker & Pollakoff, LLP
Hilda Salmanson	000782	No	1S0506	S Salmanson Gst Non Exempt Tst Hilda Salmanson, James A	1004	Pro Se Filing
Howard J Glass	000825	No	1G0271	Howard J Glass	2512	Pro Se Filing
Ira For The Benefit Of Marion E Apple	005243	No	1EM405	Millennium Trust Company, LLC FBO Marion E Apple (93668)	1083	Greene Espel PLLP
Ira Schy, Partner	100184	Yes	1ZB481	Schy Family Partnership	1039	Phillips Nizer LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Ira Schy, Partner	100184	Yes	12B481	Schy Family Partnership	3599	Becker & Pollakoff, LLP
Iris And Saul Katz Family Foundation, Inc.	009917	Yes	1KW252	Iris And Saul Katz Family Foundation Pm	2397	Pro Se Filing
Iris J. Katz	009931	Yes	1KW014	Iris J Katz	2332	Pro Se Filing
Irving I Schupak	003337	No	12R328	NTC & Co. FBO Irving I Schupak (8438)	1088	Pro Se Filing
Irving Jungreis Trust, Irving And Annette Jungreis, Ttees	005057	Yes	12B304	Irving Jungreis And Annette Jungreis Trustees	573	Phillips Nizer LLP
Irving Jungreis Trust, Irving And Annette Jungreis, Ttees	005059	Yes	12B303	Annette Jungreis And Irving Jungreis Trustees	572	Phillips Nizer LLP
Irving M Sonnenfeld	011045	No	1S0299	David Shapiro Nominee Nominee #3	2718	Becker & Pollakoff, LLP
Isabel Chalek	014190	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
Ivan Schwartzman	000497	Yes	1S0465	Schwartzman Metals Inc 401(K) FBO Ivan Schwartzman	2046	Gardiner Koch Weisberg & Wrona
Ivan Schwartzman	000497	Yes	1S0465	Schwartzman Metals Inc 401(K) FBO Ivan Schwartzman	3832	Gardiner Koch Weisberg & Wrona
Ivan Schwartzman	000485	Yes	1S0466	Ivan Schwartzman Ies 2002 LLC	922	Lindquist & Vennum PLLP
Jack Kaufman & Phyllis Kaufman	006571	No	1KW142	Jack Kaufman & Phyllis Kaufman JT Wros	2221	Milberg LLP
Jacquelyn J. Fitzpatrick; James Fitzpatrick; Kenneth Fitzpatrick JT Wros	003710	No	1F0118	Jacquelyn J Fitzpatrick James Fitzpatrick	2897	Pro Se Filing
James J Fitzpatrick And Rosemarie Fitzpatrick	003450	No	1F0119	James J Fitzpatrick And Rosemarie Fitzpatrick	2503	Pro Se Filing
James J Fitzpatrick & Rosemarie Fitzpatrick JT Wros	003451	No	1F0026	James J Fitzpatrick And Rosemarie Fitzpatrick	2504	Pro Se Filing
Janet Jaffin	002511	Yes	1CM093	Janet Jaffin Revocable Trust	2815	Jaspan Schlesinger LLP
Janis Weiss	000954	No	1W0084	Janis Weiss	658	Sherman, Citron & Karasik, P.C.
Jared Lazarus	010805	Yes	12B300	The Lazarus Schy Partnership	3597	Becker & Pollakoff, LLP
Jared Lazarus	100203	Yes	12B300	The Lazarus Schy Partnership	3597	Becker & Pollakoff, LLP
Jay Gaines	010703	Yes	1CM065	Jay Gaines	2778	Sillis Cummis & Gross P.S.

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Jay Gaines & Co Inc Profit Sharing	010726	Yes	1CM067	Jay Gaines & Co Inc Profit Sharing	2780	Sills Cummis & Gross P.S.
Jay Gaines & Sherry Gaines	010734	Yes	1CM068	Jay Gaines & Sherry Gaines JT Ten	2779	Sills Cummis & Gross P.S.
Jeffery R. Werner 11/1/98 Trust	014340	Yes	1EM225	Jeffery R Werner 11/1/98 Trust Violet M Werner	2392	Bernfeld, Dematteo & Bernfeld, LLP
Jeffrey Siskind	009437	Yes	1S0489	Jeffrey Siskind	958	Pro Se Filing
Jeffrey Siskind	009568	Yes	1S0489	Jeffrey Siskind	958	Pro Se Filing
Jerry Guberman, Trustee For Jerry Guberman Trust 12/23/92	009416	Yes	1ZA407	Jerry Guberman As Trustee For Jerry Guberman	711	Milberg LLP
Jessica Decker	014346	Yes	1C1229	Chalek Associates LLC	2881	Becker & Pollakoff, LLP
Jessica Decker	014346	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
Jlr Enterprises LLC C/O Elihu I Rose	011572	No	1J0060	Jlr Enterprises LLC	2471	Lax & Neville, LLP
Joel B. Sandberg And Iris B. Sandberg	001195	No	1ZA162	Joel Sandberg And Iris B Sandberg Trustees	523	Cosner & Cosner
John F Rosenthal	015233	No	1CM162	John F Rosenthal	2080	Becker & Pollakoff, LLP
John Tzannes Trust	014944	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
Jonathan Chais Transferee Trust #1	013791	Yes	1C1306	Jonathan Chais Transferee #1 Albert Angel Trustee	2304	Sills Cummis & Gross P.S.
Jonathan M Aufzien	003407	Yes	1CM802	Jonathan M Aufzien	945	Loeb & Loeb LLP
Jonathan Michaeli	007983	No	1ZR305	NTC & Co. FBO Ursula Michaeli (DEC'D)	2214	Milberg LLP
Jonathan Michaeli	009009	No	1ZR305	NTC & Co. FBO Ursula Michaeli (DEC'D)	2214	Milberg LLP
Jonathan Michaeli	007984	No	1ZR304	Millennium Trust Company, LLC FBO Ursula Michaeli (DEC'D)	2277	Milberg LLP
Jonathan Roth	011154	Yes	1R0050	Jonathan Roth	3119	Stroock & Stroock & Lavan LLP
Jonathan Roth	012729	Yes	1R0050	Jonathan Roth	3119	Stroock & Stroock & Lavan LLP
Jonathan Roth	013337	Yes	1R0050	Jonathan Roth	3119	Stroock & Stroock & Lavan LLP
Jonathan Schwartz As Trustee Fbo Nancy M Riehm	004891	No	1ZB496	Jonathan Schwartz As Trustee FBO Nancy M Riehm	2774	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Jonathan Sobin	008501	Yes	1EM208	Jonathan Sobin	2469	Milberg LLP
Jonathan Wolf Chais Trust	013792	Yes	1C1227	Jonathan Wolf Chais Trust William Chais, Mark Chais &	2925	Sills Cummis & Gross P.S.
Joyce Certilman	009601	Yes	1C1012	Joyce Certilman	3244	Certilman, Ballin, Adler & Hyman LLP
Joyce Certilman	015646	Yes	1C1012	Joyce Certilman	3244	Certilman, Ballin, Adler & Hyman LLP
Judaic Heritage Foundation Inc C/O Glenn Fishman	004569	No	1CM568	Judaic Heritage Foundation Inc	3143	Lowenstein Sandler Pc
Judith A. Wilpon	009940	Yes	1KW077	Judith A Wilpon	2329	Pro Se Filing
Judith Kalman & Daniel Kalman TIC	002462	No	1ZG032	Judith Kalman And Daniel Kalman TIC	506	Pro Se Filing
Judith Kalman & Daniel Kalman TIC	002503	No	1ZG032	Judith Kalman And Daniel Kalman TIC	506	Pro Se Filing
Judith Rock Goldman	009795	No	1ZA490	Judith Rock Goldman	867	Milberg LLP
Judith Rock Goldman	011678	No	1ZA490	Judith Rock Goldman	867	Milberg LLP
Juliet Nierenberg	013870	No	1N0013	Juliet Nierenberg	3831	Pryor Cashman LLP
June Pollack	000530	No	1CM884	June Pollack T/O/D To Keith L Pollack	1080	Milberg LLP
Justin Robert Chasalow 1999 Trust	005285	Yes	1C1289	Justin Robert Chasalow 1999 Trust	2926	Sills Cummis & Gross P.S.
Justin Robert Chasalow 1999 Trust	005285	Yes	1C1289	Justin Robert Chasalow 1999 Trust	2965	Sills Cummis & Gross P.S.
Justin Robert Chasalow 1999 Trust C/O Michael Swartz, Hennigan, Bennett & Dorman LLP	013853	Yes	1C1289	Justin Robert Chasalow 1999 Trust	2926	Sills Cummis & Gross P.S.
Justin Robert Chasalow 1999 Trust C/O Michael Swartz, Hennigan, Bennett & Dorman LLP	013853	Yes	1C1289	Justin Robert Chasalow 1999 Trust	2965	Sills Cummis & Gross P.S.
Justin Robert Chasalow Transferee Trust #1 C/O Andrew Sherman, Sills Cummis & Gross	013844	Yes	1C1308	Justin Robert Chasalow Transferee #1	2935	Sills Cummis & Gross P.S.
Karl Drobitsky	004124	No	1D0050	Karl Drobitsky	2786	Lax & Neville, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Katharine Brown Trust Stacey Mathias And Michael Mathias, Trustees	004020	Yes	1B0141	Katharine Brown Trust Stacy Mathias And	1136	Milberg LLP
Kathelijn Rabaey & Jan Rabaey Jt Wros	002220	No	1R0238	Kathelijn Rabaey & Jan Rabaey JtWros	619	Pro Se Filing
Kathleen Forrest TIC	002310	No	1F0136	Estate Of William A Forrest & Kathleen Forrest TIC	1084	Phillips Nizer LLP
Keith Schaffer	011596	Yes	1ZA642	Keith Schaffer	1032	Phillips Nizer LLP
Keith Schaffer	100399	Yes	1ZA642	Keith Schaffer	1032	Phillips Nizer LLP
Kelly Burich	012926	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Kelly Burich	012926	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Ken Macher Settlor	003211	Yes	1ZA460	Ken Macher Settlor Macher Family Trust	1793	Pro Se Filing
Kenneth M Kohl & Myrna Kohl	000204	Yes	1ZB286	Kenneth M Kohl & Myrna Kohl JtWros	575	Phillips Nizer LLP
Kenneth S Fitzpatrick & Margaret Fitzpatrick	000943	No	1F0120	Kenneth S Fitzpatrick & Margaret Fitzpatrick Jt Wros	2506	Pro Se Filing
Kevin Galvis, As Participant In Daprex Profit Sharing Plan And 401K Plan	013645	No	1D0053	Daprex Profit Sharing And 401K Plan	3401	Becker & Pollakoff, LLP
Konigsberg Wolf & Co Pc 401-K Plan #2	001604	Yes	1K0106	Konigsberg Wolf & Co Pc 401-K Plan #2	2857	Norman A. Kaplan, Esq.
Kurt C. Palmer	008191	Yes	1EM493	Kurt C Palmer	2073	Becker & Pollakoff, LLP
L. Thomas Osterman	009935	Yes	1KW044	L Thomas Osterman	2330	Davis Polk & Wardwell LLP
Lapin Children LLC C/O Eric Ginsburg, Manager	006964	Yes	1CM624	Lapin Children LLC	2202	Snr Denton Us LLP
Larry C. Zale	000717	No	1ZA188	Beatrice Zale And Larry C Zale Co-Ttees	662	Pro Se Filing
Larry C. Zale	000718	No	1ZA255	Beatrice Zale Revocable Trust Uad 12/27/78	662	Pro Se Filing
Larry Zale	000481	No	1ZA265	Larry Zale & Isa Zale Jt Wros	662	Pro Se Filing
Laura E. Guggenheimer Cole	009721	Yes	1C1258	Laura E Guggenheimer Cole	2744	Snr Denton Us LLP
Laura Hallick, As Participant In Daprex Profit Sharing Plan And 401K Plan	013646	No	1D0053	Daprex Profit Sharing And 401K Plan	3401	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Laurel Kohl And Jodi Kohl J/T Wros	003784	Yes	1EM100	Laurel Kohl Jodi M Kohl J/T Wros	2428	Okin, Hollander & Deluca, LLP
Laurel Paymer	001788	No	1P0105	Laurel Paymer	1026	Phillips Nizer LLP
Laurence E. Leif	001204	Yes	1L0142	Laurence E Leif	2351	Milberg LLP
Lawrence I Brown And Barbara Brown	002892	No	1B0154	Lawrence I Brown And Barbara Brown J/T Wros	2845	Milberg LLP
Lawrence I. Brown And Barbara Brown Jlwros	014259	No	1B0154	Lawrence I Brown And Barbara Brown J/T Wros	2845	Milberg LLP
Lawrence Roth	004866	No	1R0192	Millennium Trust Company, LLC FBO Lawrence Roth (\$9064)	3040	Becker & Poliakoff, LLP
Lawrence Simonds	002781	Yes	1S0349	Lawrence Simonds	2395	Pro Se Filing
Lella F Sobin	006479	No	1S0457	Millennium Trust Company, LLC FBO Lella F Sobin (\$1966)	829	Milberg LLP
Lella F. Sobin	008499	No	1EM210	Lella F Sobin C/O Jon Sobin	2408	Seeger Weiss LLP
Lemtag Associates	011532	Yes	1CM214	Lemtag Associates	2801	Stroock & Stroock & Lavan LLP
Lemtag Associates	012975	Yes	1CM214	Lemtag Associates	2801	Stroock & Stroock & Lavan LLP
Leon Axelrod And Felicia Porges	001669	No	1ZB229	Axelrod Investments, LLC	2858	Becker & Poliakoff, LLP
Leon I. & Mikki L. Fink	001438	Yes	1ZA355	Leon I & Mikki L Fink Family Trust	823	Pro Se Filing
Leona Redston	003375	Yes	1ZR307	Millennium Trust Company, LLC FBO Leona Redston (\$8004)	1171	Phillips Nizer LLP
Leona Redston	008251	Yes	1ZR307	Millennium Trust Company, LLC FBO Leona Redston (\$8004)	1171	Phillips Nizer LLP
Leslie Aufzien Levine	005557	Yes	1CM799	Leslie Aufzien Levine	947	Loeb & Loeb LLP
Leslie Shapiro Citron & Kenneth Citron J/T Wros	013658	Yes	1C1251	Leslie Shapiro Citron Kenneth Citron J/T Wros	2591	Pro Se Filing
Lewis Brodsky, Trustee	100127	No	1ZA686	Lewis C Brodsky & Cathy Brodsky Tslees, C & L	663	Pro Se Filing
Lewis C. & Cathy Brodsky	004250	No	1ZA686	Lewis C Brodsky & Cathy Brodsky Tslees, C & L	663	Pro Se Filing
Lewis R. Franck	001891	Yes	1ZA440	Lewis R Franck	733	Milberg LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Lewis R. Franck	015368	Yes	1ZA440	Lewis R Franck	733	Milberg LLP
Linda A Abbit Trustee Survivors Trust Under Brodsky Family Trust 1/9/02	004903	Yes	1B0164	Linda A Abbit Trustee Survivors Trust Under Brodsky	2368	Becker & Pollakoff, LLP
Linda A Abbit Trustee Survivors Trust Under Brodsky Family Trust 1/9/02	004903	Yes	1B0164	Linda A Abbit Trustee Survivors Trust Under Brodsky	2427	Becker & Pollakoff, LLP
Linda Abbit Trustee Exemption Trust Under Brodsky Family Trust Dtd 1/9/05	004902	Yes	1B0165	Linda Abbit Trustee Exemption Trust Under Brodsky	2367	Becker & Pollakoff, LLP
Linda Abbit Trustee Exemption Trust Under Brodsky Family Trust Dtd 1/9/05	004902	Yes	1B0165	Linda Abbit Trustee Exemption Trust Under Brodsky	2426	Becker & Pollakoff, LLP
Linda Abbit, Trustee	100370	Yes	1B0165	Linda Abbit Trustee Exemption Trust Under Brodsky	2367	Becker & Pollakoff, LLP
Linda Abbit, Trustee	100409	Yes	1B0164	Linda A Abbit Trustee Survivors Trust Under Brodsky	2368	Becker & Pollakoff, LLP
Linda Abbit, Trustee	100370	Yes	1B0165	Linda Abbit Trustee Exemption Trust Under Brodsky	2426	Becker & Pollakoff, LLP
Linda Abbit, Trustee	100409	Yes	1B0164	Linda A Abbit Trustee Survivors Trust Under Brodsky	2427	Becker & Pollakoff, LLP
Linda Anne Abbit & Jeffrey Brian Abbit Trustees The Awit Family Trust 9/7/90	004904	Yes	1A0089	Linda Anne Abbit & Jeffrey Brian Abbit Trustees	2929	Becker & Pollakoff, LLP
Linda Anne Abbit, Trustee	100367	Yes	1A0089	Linda Anne Abbit & Jeffrey Brian Abbit Trustees	2929	Becker & Pollakoff, LLP
Linda Berger Howard Berger J/R Wros	005115	No	1ZB547	Linda Berger Howard Berger J/T Wros	1998	Milberg LLP
Linda Lazarus	010728	Yes	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Linda Lazarus	100196	Yes	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Linda Morse Revocable Trust Dated 6/18/93	009369	No	1M0167	Linda Morse Revocable Trust Dated 6/18/93	2110	Lax & Neville, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Linda Morse Revocable Trust Dated 6/18/93	009715	No	1M0167	Linda Morse Revocable Trust Dated 6/18/93	2110	Lax & Neville, LLP
Lisa Aufzien	004170	Yes	1CM600	Lisa Aufzien	946	Loeb & Loeb LLP
Lisa B. Hurwitz Revocable Trust U/D/T 2/26/98 C.O. Peter G. Chernis, Trustee	004584	No	1EM383	Lisa B Hurwitz Rev Trust Dtd 2/26/98	2181	Duane Morris LLP
Lisa Cavanaugh	002411	No	1D0053	Daprex Profit Sharing And 401K Plan	2859	Becker & Pollakoff, LLP
Lisa Cavanaugh	002411	No	1D0053	Daprex Profit Sharing And 401K Plan	2860	Becker & Pollakoff, LLP
Ludmilla Goldberg	000794	Yes	1G0272	Ludmilla Goldberg	2417	Pro Se Filing
M Michael Unflat Ira C/O Stephen M Honig, Esq.	005099	No	1U0016	Millennium Trust Company, LLC FBO M Michael Unflat (1683)	2055	Duane Morris LLP
Madeleine Fauslin Joseph	009675	No	1J0020	Madeleine Fauslin Joseph	2419	Pro Se Filing
Madeline Chais Transferee Trust #1	013788	Yes	1C1304	Madeline Chais Transferee #1 Albert Angel Trustee	2305	Sills Cummins & Gross P.S.
Madeline Lutsky Rev Tst U/A/D 2/19/99 Pmb #101	004574	No	1CM121	Madeline Lutsky Rev Tst U/A/D 2/19/99	2083	Snr Denton Us LLP
Maggie Fauslin	001321	No	1J0034	Maggie Fauslin	2842	Pro Se Filing
Magnify Inc C/O Yair Green, Attorney At Law	007928	Yes	1FN024	Magnify Inc Kurt Brunner Atty At Law	3791	Herrick, Feinstein LLP
Magnify Inc C/O Yair Green, Attorney At Law	007382	Yes	1FN025	Magnify Inc Kurt Brunner Atty At Law	3792	Herrick, Feinstein LLP
Manfred Franitza	000851	Yes	1ZB521	Millennium Trust Company, LLC FBO Manfred Franitza (10391)	3240	Bernfeld, Dematteo & Bernfeld, LLP
Manfred Franitza	000850	Yes	1ZA025	Manfred Franitza Revocable Trust Dated 9/6/05	3238	Bernfeld, Dematteo & Bernfeld, LLP
Manuel O Jaffe Md	002167	Yes	1J0030	Millennium Trust Company, LLC FBO Manuel O Jaffe (16272)	2219	Becker & Pollakoff, LLP
Marc Lipkin	013503	Yes	1L0093	Marc Lipkin	2404	Becker & Pollakoff, LLP
Marcia Chernis Revocable Trust U/D/T 1/16/87	004690	Yes	1EM035	Marcia Chernis Rev Tst Dtd 1/16/87	2508	Duane Morris LLP
Margrit Franitza	000812	Yes	1zb520	Millennium Trust Company, LLC FBO Margrit Franitza (10602)	3239	Bernfeld, Dematteo & Bernfeld, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Marilyn Bernfield	014648	Yes	1EM013	Bernfield Joint Venture Herbert Bernfield Managing Ptnr	2835	Becker & Pollakoff, LLP
Marilyn Bernfield	014648	Yes	1EM013	Bernfield Joint Venture Herbert Bernfield Managing Ptnr	3168	Becker & Pollakoff, LLP
Marilyn Komarc, Pfr Of David Shapiro Nombrec	013430	No	1S0298	David Shapiro Nominee #2	2715	Becker & Pollakoff, LLP
Marilyn Komarc, Pfr Of David Shapiro Nombrec	013430	No	1S0298	David Shapiro Nominee #2	2775	Becker & Pollakoff, LLP
Marilyn Komarc, Pfr Of David Shapiro Nombrec	013430	No	1S0298	David Shapiro Nominee #2	2777	Becker & Pollakoff, LLP
Marital Trust No. 1 Created Under The Harvey L. Werner Revocable Trust U/A/D/ 8/31/82	014343	Yes	1EM424	Marital Tst No 1 Created Under The Harvey L. Werner Rev Trust	2393	Bernfield, Demaillo & Bernfield, LLP
Marjet LLC C/O Edward H Kaplan	006249	Yes	1M0193	Marjet LLC	931	Arent Fox LLP
Marjorie Forrest	000698	No	1ZB450	Levy Gst Trust Dtd 3/14/02 FBO Franchise Levy	1068	Pro Se Filing
Marsh Peshkin Ira	002628	No	1ZR312	NTC & Co. FBO Marsha Peshkin (18652)	439	Snr Denton Us LLP
Marshall Warren Krause	000068	No	1ZA159	Marshall Warren Krause	911	Pro Se Filing
Marshall Warren Krause	000082	No	1ZR256	Millennium Trust Company, LLC FBO Marshall Warren Krause	1071	Pro Se Filing
Martin Gelman & Michale Dancer J/Wwros	008502	No	1ZB516	Martin Gelman & Michale Dancer J/Wwros	705	Milberg LLP
Martin R. Harnick	009772	Yes	1H0123	Martin R Harnick & Steven P Norton Partners	3123	Becker & Pollakoff, LLP
Martin Schupak	001303	Yes	1zr329	Millennium Trust Company, LLC FBO Martin Schupak (38442)	2009	Pro Se Filing
Megan Burich	012982	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Megan Burich	012982	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Melvyn I. Weiss And Barbara J. Weiss	014261	Yes	1CM241	Melvyn I Weiss Barbara J Weiss Jt Wwros	3039	Seeger Weiss LLP
Meredith Aufzien Bauer	004901	Yes	1CM801	Meredith Aufzien Bauer	948	Loeb & Loeb LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Michael A. Bellini & Judith Bellini J/T Wros	008349	Yes	1B0176	Michael A Bellini And Judith Bellini J/T Wros	2294	Pro Se Filing
Michael Brent Hurwitz	000896	Yes	1H0138	Michael Brent Hurwitz	3660	Pro Se Filing
Michael Brent Hurwitz	000896	Yes	1H0138	Michael Brent Hurwitz	3764	Pro Se Filing
Michael Goldstein	000790	Yes	1G0264	Michael Goldstein	2401	Pro Se Filing
Michael Goldstein	000791	Yes	1G0265	Michael Goldstein #2	2401	Pro Se Filing
Michael Goldstein	000792	Yes	1G0266	Michael Goldstein #3	2401	Pro Se Filing
Michael Katz & Dayle Katz (Joint Tenants With Right Of Survivorship	009933	Yes	1KW020	Michael Katz & Dayle Katz J/T Wros	2761	Davis Polk & Wardwell LLP
Michael Lazarus	010816	Yes	1ZB300	The Lazarus Schy Partnership	3597	Becker & Pollakoff, LLP
Michael Lazarus	100205	Yes	1ZB300	The Lazarus Schy Partnership	3597	Becker & Pollakoff, LLP
Michael Mathias & Stacey Mathias J/T Wros	002619	Yes	1M0100	Michael Mathias & Stacey Mathias J/T Wros	2963	Milberg LLP
Michael S. Leeds And Andrea R. Leeds	014350	Yes	1L0177	Michael S Leeds And Andrea R Leeds	444	Dow Lohnes PLLc
Miriam Cantor Siegman	015350	No	1S0259	Miriam Cantor Siegman	1029	Phillips Nizer LLP
Miriam Cantor Siegman	100412	No	1S0259	Miriam Cantor Siegman	1029	Phillips Nizer LLP
Mishkin Family Trust	004174	Yes	1ZA030	Mishkin Family Trust	608	Phillips Nizer LLP
Mitchel Chalek	014191	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
Mmm Associates	010204	Yes	1M0124	Mmm Associates	3585	Snr Denton Us LLP
Morton Chalek	000898	Yes	1C1229	Chalek Associates LLC	2881	Becker & Pollakoff, LLP
Morton Chalek	013177	Yes	1C1229	Chalek Associates LLC	2881	Becker & Pollakoff, LLP
Morton Chalek	013177	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
Morton L. Certilman	009706	Yes	1C1013	Morton L Certilman & Joyce Certilman J/T Wros	2477	Certilman, Balin, Adler & Hyman LLP
Morton L. Certilman	013019	Yes	1C1013	Morton L Certilman & Joyce Certilman J/T Wros	2477	Certilman, Balin, Adler & Hyman LLP
Mrs Andera Certilman Ziegler	003220	No	1Z0016	Mrs Andrea Certilman Ziegler	3951	Certilman, Balin, Adler & Hyman LLP
Murray Fold Tst Fbo Gold Children	004929	Yes	1G0269	Murray Gold Tst FBO Gold Children	2434	Bernfield, Demateo & Bernfield, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Murray Gold	001011	Yes	1G0036	Murray Gold As Trustee U/A/D 08/08/90	2865	Berfield, Dematteo & Berfield, LLP
Murray Gold	000343	No	1G0268	Eleanor S Gold As Trustee U/A/D 08/08/90	2866	Berfield, Dematteo & Berfield, LLP
Myra M. Levine	009397	No	1S0298	David Shapiro Nominee #2	2715	Becker & Pollakoff, LLP
Myra M. Levine	009397	No	1S0298	David Shapiro Nominee #2	2775	Becker & Pollakoff, LLP
Naomi Gordon And Roger Gordon TIC	002348	No	1G0254	Naomi Gordon And Roger Gordon TIC	2502	Gordon Law Group, LLP
Naomi Karp	009660	No	1S0298	David Shapiro Nominee #2	2715	Becker & Pollakoff, LLP
Naomi Karp	009660	No	1S0298	David Shapiro Nominee #2	2775	Becker & Pollakoff, LLP
Naomi Karp	009660	No	1S0298	David Shapiro Nominee #2	2777	Becker & Pollakoff, LLP
Nathan Schupak	002510	Yes	1ZR327	Millennium Trust Company, LLC FBO Nathan Schupak (18441)	1042	Pro Se Filing
Neal M Goldman	005461	Yes	1G0325	Millennium Trust Company, LLC FBO Neal M Goldman (13047)	2902	Pro Se Filing
Norman P Rappaport	001927	No	1C0020	Norman P Rappaport	2252	Pro Se Filing
Norman P Rappaport	001927	No	1C0020	Norman P Rappaport	2259	Pro Se Filing
NTC & Co Fbo David Gross	001950	Yes	1CM302	Millennium Trust Company, LLC FBO David Gross (1091)	2057	Pro Se Filing
NTC & Co Fbo David Gross	001950	Yes	1CM302	Millennium Trust Company, LLC FBO David Gross (1091)	2134	Pro Se Filing
NTC & Co Fbo David Gross	001950	Yes	1CM302	Millennium Trust Company, LLC FBO David Gross (1091)	3133	Pro Se Filing
NTC & Co Fbo Gerald Blumenthal (43067)	006832	Yes	1B0166	Millennium Trust Company, LLC FBO Gerald Blumenthal (13067)	2366	Millberg LLP
NTC & Co Fbo Jeffrey Shankman (111772)	002908	Yes	1S0277	NTC & Co. FBO Jeffrey Shankman (11772)	1028	Phillips Nizer LLP
NTC & Co Fbo Joseph Sloves (111268)	007959	No	1S0403	Millennium Trust Company, LLC FBO Joseph Sloves (11268)	1949	Millberg LLP
NTC & Co Fbo Magnus A Unflat Ftc Acct #909797 Ira	010621	Yes	1U0005	NTC & Co. FBO Magnus A Unflat	2851	Duane Morris LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
NTC & Co Fbo Magnus A Unifiat (11445)	005096	Yes	1U0015	Millennium Trust Company, LLC FBO Magnus A Unifiat (11445)	2851	Duane Morris LLP
NTC & Co Fbo Magnus A Unifiat (11445)	010622	Yes	1U0015	Millennium Trust Company, LLC FBO Magnus A Unifiat (11445)	2851	Duane Morris LLP
NTC & Co Fbo Sidney Dorfman DEC'D (000734) C/O William Dorfman	006203	No	1CM738	Millennium Trust Company, LLC FBO Sidney Dorfman DEC'D	656	Sydney, Amster & Green, PLLC
NTC & Co For Maurice Sandler ita	005564	Yes	1S0399	Millennium Trust Company, LLC FBO Maurice Sandler (13103)	2333	Pro Se Filing
NTC & Co, Fbo Sidney Dorfman DEC'D	004630	No	1CM739	Millennium Trust Company, LLC FBO Sidney Dorfman DEC'D	824	Pro Se Filing
NTC & Co.	002008	Yes	1ZR333	Millennium Trust Company, LLC FBO Paul Schupak (098440)	2064	Pro Se Filing
NTC & Co. Fbo Adele Fox (111257)	002270	Yes	1F0125	NTC & Co. FBO Adele Fox (11257)	2354	Becker & Pollakoff, LLP
NTC & Co. Fbo Denis Castelli (19988)	002488	Yes	1C1301	Millennium Trust Company, LLC FBO Denis Castelli (19988)	3022	Becker & Pollakoff, LLP
NTC & Co. Fbo Howard M. Schupak (098439)	002128	Yes	1ZR330	NTC & Co. FBO Howard M Schupak (198439)	1961	Pro Se Filing
NTC & Co. Fbo Richard L. Cohen (116712)	001813	Yes	1C1346	Millennium Trust Company, LLC FBO Richard L Cohen (116712)	1845	Cohen Law Group, Pc
NTC & Co. Fbo Richard L. Cohen (116712)	001813	Yes	1C1346	Millennium Trust Company, LLC FBO Richard L Cohen (116712)	445	Cohen Law Group, Pc
NTC & Co. Fbo Robert Halio (26849)	002007	No	1ZR140	Millennium Trust Company, LLC FBO Robert Halio (26849)	606	Phillips Nizer LLP
NTC & Co. Fbo Steven C. Schupak (098898)	002977	Yes	1ZR332	NTC & Co. FBO Steven C Schupak (198898)	3503	Becker & Pollakoff, LLP
NTC & Co., C/O William Dorfman	012769	No	1CM738	Millennium Trust Company, LLC FBO Sidney Dorfman DEC'D	656	Sydney, Amster & Green, PLLC

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
NTC. & Co. Fbo Solomon Turiel (44651)	001499	No	1ZR264	Millennium Trust Company, LLC FBO Solomon Turiel (44651)	410	Pro Se Filing
Oscar Palmer	013011	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Oscar Palmer	013011	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
P Charles Gabriele	009180	Yes	1CMA31	Millennium Trust Company, LLC FBO P Charles Gabriele (44066)	886	Millberg LLP
Palmer Family Trust	004451	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Pamela K. Marxen	008083	Yes	1EM490	Pamela K Marxen	2072	Becker & Pollakoff, LLP
Patricia Samuels	004841	Yes	1S0497	Patricia Samuels	2964	Jaspan Schlesinger LLP
Patricia Samuels And Amy Joel As Remaindermen Under The	008922	Yes	1J0057	Article Fourth Trust U/W Martin J Joel Jr Deceased	2335	Snow Becker Krauss P.C.
Paul Barone And Peggann Gerhard J/T Wros	014318	No	1ZB439	Paul Barone And Peggann Gerhard J/T Wros	751	Pro Se Filing
Paul Kaye	009107	No	1K0200	Paul Kaye	2447	Lax & Neville, LLP
Peter Tzannes	015021	Yes	1C1229	Chalek Associates LLC	2881	Becker & Pollakoff, LLP
Peter Tzannes	015021	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
Pgc Limited Partnership	013739	Yes	1EM452	Pgc Limited Partnership	2149	Duane Morris LLP
Philip E. Miller	000620	Yes	1M0187	Philip E Miller Steven A Miller TIC	2370	Becker & Pollakoff, LLP
Phyllis A Poland	008560	No	1P0038	Phyllis A Poland	2180	McLaughlin & Stern, LLP
Phyllis Feiner Harvey Feiner Trustees Feiner Living Trust	005552	No	1ZB241	Phyllis Feiner Harvey Feiner Trustees	750	Pro Se Filing
Phyllis Feiner, Trustee	100125	No	1ZB241	Phyllis Feiner Harvey Feiner Trustees	750	Pro Se Filing
Potamkin Family Foundation I, Inc.	005312	Yes	1P0107	Potamkin Family Fdn I Inc	709	Millberg LLP
Rachel Allison Chasalow 1999 Trust	005291	Yes	1C1290	Rachel Allison Chasalow 1999 Trust	2927	Sills Cummis & Gross P.S.
Rachel Allison Chasalow 1999 Trust	005291	Yes	1C1290	Rachel Allison Chasalow 1999 Trust	2986	Sills Cummis & Gross P.S.

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Rachel Allison Chasalow 1999 Trust C/O Michael Swartz, Hennigan, Bennett & Dorman LLP	013852	Yes	1C1290	Rachel Allison Chasalow 1999 Trust	2927	Sills Cummis & Gross P.S.
Rachel Allison Chasalow 1999 Trust C/O Michael Swartz, Hennigan, Bennett & Dorman LLP	013852	Yes	1C1290	Rachel Allison Chasalow 1999 Trust	2986	Sills Cummis & Gross P.S.
Rachel Allison Chasalow Transferee Trust #1 C/O Andrew Sherman, Sills, Cummis & Gross	013843	Yes	1C1309	Rachel Allison Chasalow Transferee #1	2936	Sills Cummis & Gross P.S.
Radosh Partners	011415	Yes	1R0090	Radosh Partners Radosh Burnett H Gen Ptnr	2826	Pro Se Filing
Richard A. Wilpon & Debra Wilpon (Joint Tenants With Right Of Survivorship)	009941	Yes	1KW081	Richard A Wilpon & Debra Wilpon J/T Wros	2328	Davis Polk & Wardwell LLP
Richard M Friedman	002096	Yes	1F0134	Millennium Trust Company, LLC FBO Richard M Friedman 5385	2862	Becker & Poliakoff, LLP
Richard Mark Chalek	013741	Yes	1C1229	Chalek Associates LLC	2881	Becker & Poliakoff, LLP
Richard Mark Chalek	013741	Yes	1C1229	Chalek Associates LLC	3472	Becker & Poliakoff, LLP
Richard Roth	000710	Yes	1R0060	Richard Roth	2448	Milberg LLP
Richard Roth	000710	Yes	1R0060	Richard Roth	3525	Milberg LLP
Robert A Hamatz	002966	No	1H0114	Robert A Hamatz	2526	Pro Se Filing
Robert Getlinger Miscof Corp #3 Retirement	010664	Yes	1M0045	Miscof Corp #3 Retirement Plan	2832	Pro Se Filing
Robert K. Low	001547	Yes	1L0149	Robert K Low	2004	Becker & Poliakoff, LLP
Robert Kraham And Jewel Kraham	001964	No	1ZA662	Robert Kraham And Jewel Kraham J/T Wros	537	Pro Se Filing
Robert L Denenstein	000903	No	1D0064	Robert L Denenstein	2480	Pro Se Filing
Robert Luria Partners	013315	Yes	1L0324	Robert Luria Partners C/O Bernard L Madoff	2791	Jaspan Schlesinger LLP
Robert Luria Partners	070219	Yes	1L0324	Robert Luria Partners C/O Bernard L Madoff	2791	Jaspan Schlesinger LLP
Robert Luria Partners	070220	Yes	1L0324	Robert Luria Partners C/O Bernard L Madoff	2791	Jaspan Schlesinger LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Robert Luria Partners	100441	Yes	1L0324	Robert Luria Partners C/O Bernard L Madoff	2791	Jaspan Schlesinger LLP
Robert Luria Partners	100442	Yes	1L0324	Robert Luria Partners C/O Bernard L Madoff	2791	Jaspan Schlesinger LLP
Robert M. Rosenthal, Marion Rosenthal A/K/A Rosenthal Family LLC C/O Rosenthal Automotive	009487	No	1R0108	Rosenthal Family LLC	3014	Snr Denton Us LLP
Robert Yaffe	009613	Yes	1Y0007	Millennium Trust Company, LLC FBO Robert Yaffe (16894)	2006	Becker & Pollakoff, LLP
Robert Yaffe	100138	Yes	1Y0007	Millennium Trust Company, LLC FBO Robert Yaffe (16894)	2006	Becker & Pollakoff, LLP
Robert Yaffe	100152	Yes	1Y0007	Millennium Trust Company, LLC FBO Robert Yaffe (16894)	2006	Becker & Pollakoff, LLP
Roberta Schwartz	002946	Yes	1S0324	Roberta Schwartz Trust U/A/D 2/11/08	2875	Becker & Pollakoff, LLP
Robin J Silverstein Family Trust I	005880	No	1ZB403	Robin J Silverstein Family Trust I	597	Pro Se Filing
Robin L. Warner	000883	No	1ZA319	Robin L Warner	2030	Phillips Nizer LLP
Robin L. Warner	100350	No	1ZA319	Robin L Warner	2030	Phillips Nizer LLP
Robin L. Warner	100360	No	1ZA319	Robin L Warner	2030	Phillips Nizer LLP
Robin L. Warner	100422	No	1ZA319	Robin L Warner	2030	Phillips Nizer LLP
Robin Tzannes	015022	Yes	1C1229	Chalek Associates LLC	3472	Becker & Pollakoff, LLP
Robyn G. Chernis Irrevocable Trust U/D/T 7/4/93 C/O Peter G. Chernis, Trustee	004583	Yes	1EM041	Robyn G Chernis Ir Tst 7/4/93 Peter G Chernis Ttee	2407	Duane Morris LLP
Rona Mast	001432	No	1M0101	Rona Mast	2437	Becker & Pollakoff, LLP
Rona Mast	015655	No	1M0101	Rona Mast	2437	Becker & Pollakoff, LLP
Ronald Lazarus	010719	No	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Ronald Lazarus	100195	No	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Ronald Lazarus, Partner	100201	No	1ZB375	The Lazarus Investment Group	1085	Phillips Nizer LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Ronald Lazarus, Partner	100201	No	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Rosalyn P. Schwartzman, David Schwartzman	003557	No	1EM184	Rosalyn P. Schwartzman David Schwartzman Trustees	2787	Greene Espel PLLP
Russel Lipkin, Karen Yokonizo-Lipkin Jlvros	013502	Yes	1L0094	Russel Lipkin Karen Yokonizo-Lipkin J/T Wros	2874	Becker & Pollakoff, LLP
Ruth Meckaneck	000838	No	1ZB506	Ruth Meckaneck	2792	Lax & Neville, LLP
Ruth Meckaneck	070176	No	1ZB506	Ruth Meckaneck	2792	Lax & Neville, LLP
Ruth Wlik And Elliot Wlik Wros	013289	Yes	1W0040	Ruth Wlik Or Elliot Wlik Wros	2814	Pro Se Filing
Ryan Murray	012917	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Ryan Murray	012917	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Sage Realty C/O Malcolm Sage	010157	Yes	1S0316	Sage Realty	2297	Snr Denton Us LLP
Samuel L. Messing And Diana L. Messing	001490	Yes	1ZB412	Samdia Family LP	762	Law Offices Of Joel L. Herz
Samuels Family Ltd Partnership	003897	Yes	1S0493	Samuels Family Ltd Partnership	2372	Jaspan Schlesinger LLP
Sandy Sandler	004076	Yes	1S0268	Sandy Sandler	2405	Becker & Pollakoff, LLP
Saul A Geronemus Revocable Trust Agreement	011578	Yes	1ZA027	Saul A Geronemus Ttee Urt Did 6/92 FBO Saul A Geronemus	2269	Greenburg Traurig, LLP
Saul B. Katz	009934	Yes	1KW024	Saul B Katz	3142	Davis Polk & Wardwell LLP
Saul B. Katz & Brian Hahn, Jr. (Tenants In Common)	009890	Yes	1KW336	Saul B Katz Brian Hahn Jr TIC	2828	Pro Se Filing
Schupak Investment	008204	Yes	1S0260	Schupak Investment	2522	Pro Se Filing
Schy Family Partnership C/O Ira Schy	010280	Yes	1ZB481	Schy Family Partnership	1039	Phillips Nizer LLP
Schy Family Partnership C/O Ira Schy	010280	Yes	1ZB481	Schy Family Partnership	3599	Becker & Pollakoff, LLP
Scott Moscoe & Samantha Moscoe J/T Wros	001500	No	1EM479	Scott Moscoe & Samantha Moscoe J/T Wros	1993	Siegel Brill Graupner Duffy & Foster P.A.
Sheldon Seisler	002425	No	1S0336	Sheldon Seisler	2438	Becker & Pollakoff, LLP
Shelley Michelmore	001541	Yes	1ZB355	Shelley Michelmore	3557	Wachtel & Masys, LLP
Shelley Michelmore	001541	Yes	1ZB355	Shelley Michelmore	3558	Wachtel & Masys, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Sheila Kolodny	003349	Yes	1K0132	Sheila Kolodny	2440	Lax & Neville, LLP
Sloan G. Kamenstein	000177	Yes	1CM597	Sloan G Kamenstein	551	Phillips Nizer LLP
Sondov Capital Inc	003249	No	1S0362	Sondov Capital Inc	1130	Pro Se Filing
Sophia Palmer	013027	Yes	1EM144	Palmer Family Trust Great Western Bank	2757	Becker & Pollakoff, LLP
Sophia Palmer	013027	Yes	1EM144	Palmer Family Trust Great Western Bank	3139	Becker & Pollakoff, LLP
Stanley Mondshine	003120	Yes	1CM573	Stanley Mondshine Revocable Trust U/A/D May 30 1994	1002	Klemick & Gampel
Stanley Mondshine Revocable Trust U/A/D5/30/94	003086	Yes	1CM573	Stanley Mondshine Revocable Trust U/A/D May 30 1994	1002	Klemick & Gampel
Sterling 15C LLC	009853	Yes	1KW156	Sterling 15C LLC	2326	Pro Se Filing
Sterling Mets, L.P.	009912	Yes	1KW218	Sterling Mets LP	2762	Davis Polk & Wardwell LLP
Sterling Thirty Venture LLC	009896	Yes	1KW314	Sterling Thirty Venture LLC B	2325	Pro Se Filing
Steven Bergman	000518	No	1B0272	Leonard Bergman Credit Shelter Trust FBO Harriet Bergman	2451	Lax & Neville, LLP
Steven J Andelman	013045	Yes	1ZA111	Steven J Andelman	2418	Pro Se Filing
Steven P Heller Trust Robert Saltsman Trustee	014080	Yes	1H0083	Steven P Heller Trust Robert Saltsman Trustee	2314	Pro Se Filing
Steven P. Norton	009834	Yes	1H0123	Martin R Harnick & Steven P Norton Partners	3123	Becker & Pollakoff, LLP
Steven V Marcus	002882	Yes	1EM469	Steven V Marcus Sep Prop Marcus Family Trust	873	Milberg LLP
Stewart Katz & Judith Katz	005511	Yes	1CM274	Stewart Katz	3674	Becker & Pollakoff, LLP
Strand International Investments Ltd.	004799	Yes	1FR051	Strand International Investment Ltd	2494	Herrick, Feinstein LLP
Susan R Andelman	013046	Yes	1ZA112	Susan R Andelman	2418	Pro Se Filing
Sylvia Ann Joel	000386	Yes	1J0057	Article Fourth Trust U/W Martin J Joel Jr Deceased	2335	Snow Becker Krauss P.C.
Sylvia Ann Joel	000258	Yes	1J0033	Sylvia Joel	2919	Snow Becker Krauss P.C.
Sylvia Ann Joel C/O Snow Becker Drauss P.C.	000147	Yes	1J0036	Millennium Trust Company, LLC FBO Sylvia Ann Joel (1285)	2470	Snow Becker Krauss P.C.

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Sylvia Samuels	004087	No	1S0494	Sylvia Samuels	907	Jaspan Schlesinger LLP
Tail Chais 1997 Trust C/O Michael Swartz Hennigan, Bennett & Dorman LLP	005808	Yes	1C1271	Tail Chais 1997 Trust	2922	Silis Cummis & Gross P.S.
Tail Chais 1997 Trust C/O Michael Swartz Hennigan, Bennett & Dorman LLP	005808	Yes	1C1271	Tail Chais 1997 Trust	2987	Silis Cummis & Gross P.S.
Tail Chais 1997 Trust C/O Michael Swartz, Hennigan, Bennett & Dorman LLP	013854	Yes	1C1271	Tail Chais 1997 Trust	2922	Silis Cummis & Gross P.S.
Tail Chais 1997 Trust C/O Michael Swartz, Hennigan, Bennett & Dorman LLP	013854	Yes	1C1271	Tail Chais 1997 Trust	2987	Silis Cummis & Gross P.S.
The Benjamin W Roth Irrevocable Trust	011537	Yes	1R0091	The Benjamin W Roth Irrev Trust 5/12/93 Amy Roth And	2441	Stroock & Stroock & Lavan LLP
The Benjamin W Roth Irrevocable Trust	012953	Yes	1R0091	The Benjamin W Roth Irrev Trust 5/12/93 Amy Roth And	2441	Stroock & Stroock & Lavan LLP
The Chernis Family Living Trust	005828	Yes	1EM467	Chernis Family Living Tst 2004 Scott Chernis	2177	Duane Morris LLP
The Dos Bfs Charitable Foundation	008828	Yes	1CM706	The Dos Bfs Charitable Foundation Trust	935	Pro Se Filing
The Douglas G Brown Revocable Trust	015094	No	1B0139	The Douglas G Brown Revocable Trust	2439	Milberg LLP
The Douglas G. Brown Revocable Trust	001690	No	1B0139	The Douglas G Brown Revocable Trust	2439	Milberg LLP
The Evelyn Chernis Irrevocable Trust Agreement For Ryan Eyles U/D/T/10/6/1986 C/O Argent Wealth	004582	Yes	1EM368	Evelyn Chernis Irrevocable Tst Agreement For Ryan Eyles Tst	2182	Duane Morris LLP
The Gaba Partnership	002307	No	1ZA901	The Gaba Partnership	640	Phillips Nizer LLP
The Judy And Fred Wilpon Family Foundation	009942	Yes	1KW086	Judy Wilpon & Fred Wilpon Fam Fdn Inc	2927	Pro Se Filing
The Koston Co C/O Edward B Koston	006093	Yes	1K0060	The Koston Co	3151	Morgan, Lewis & Bockius LLP
The Lazarus Investment Group C/O Ronald Lazarus	010409	No	1ZB375	The Lazarus Investment Group	1085	Phillips Nizer LLP
The Lazarus Investment Group C/O Ronald Lazarus	010409	No	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
The Lazarus Schy Partnership	010501	Yes	1ZB300	The Lazarus Schy Partnership	3597	Becker & Pollakoff, LLP
The Marcus Family Ltd P'tship	002880	Yes	1EM248	The Marcus Family Ltd P'tship Steven Marcus Gen Partner	872	Milberg LLP
The Marilyn Chernis Revocable Trust U/D/T 7/14/97	004691	Yes	1EM052	Marilyn Chernis Rev Trust	2423	Duane Morris LLP
The Olesky Granddaughter's Trust Fbo Heather Tsumpes C/O Cindy Giammarusco	010278	Yes	1EM463	The Olesky Grand Daughters Tst FBO Heather Tsumpes	2145	Caldwell, Leslie & Proctor, PC
The Olesky Granddaughter's Trust Fbo Lindsay Tsumpes	010277	Yes	1EM462	The Olesky Granddaughters Tst FBO Lindsay Tsumpes	2145	Caldwell, Leslie & Proctor, PC
The Ryan Eyges Trust	005889	Yes	1EM369	Ryan Eyges Trust Did 12/26/96	2148	Duane Morris LLP
The Samantha C. Eyges Trust	004587	Yes	1EM371	Samantha C Eyges Trust Uad 4/1/902	2179	Duane Morris LLP
The Yeshaya Horowitz Assoc Attn: Ayala Nahir	005611	Yes	1EN037	The Yeshaya Horowitz Assoc Attn: Ayala Nahir	3793	Herrick, Feinstein LLP
Theresa R Ryan	000856	Yes	1R0171	Lawrence J.Ryan By-Pass Trust Under Declaration Of Tst Did	3084	Becker & Pollakoff, LLP
Theresa R Ryan	000856	Yes	1R0171	Lawrence J.Ryan By-Pass Trust Under Declaration Of Tst Did	3093	Becker & Pollakoff, LLP
Theresa R Ryan & Lawrence J Ryan Trustees	000852	Yes	1R0133	Theresa R Ryan Lawrence J Ryan Trustees	2836	Becker & Pollakoff, LLP
Thomas Bernfeld	014650	Yes	1EM013	Bernfeld Joint Venture Herbert Bernfeld Managing Ptnr	2835	Becker & Pollakoff, LLP
Thomas Bernfeld	014650	Yes	1EM013	Bernfeld Joint Venture Herbert Bernfeld Managing Ptnr	3168	Becker & Pollakoff, LLP
Thomas D. Moscoe	001502	No	1M0138	Moscoe Family Foundation	2263	Siegel Brill Greupner Durfy & Foster P.A.
Thomas Shippam, As Participant In Daprex Profit Sharing Plan And 401K Plan	013647	No	1D0053	Daprex Profit Sharing And 401K Plan	3401	Becker & Pollakoff, LLP
Timothy S Teufel	001985	Yes	1KW219	Timothy Teufel	2037	Becker & Pollakoff, LLP
Timothy Shawn Teufel And Valerie Anne Teufel	001986	Yes	1KW143	Timothy Shawn Teufel And Valerie Anne Teufel	2038	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Toby Lees	002918	No	1KW448	Toby Lees	3507	Becker & Pollakoff, LLP
Toby T. Hobish	009322	Yes	1H0135	NTC & Co. FBO Toby Hobish (8534)	1094	Snr Denton Us LLP
Tracy D. Kamenstein	000189	Yes	1CM596	Tracy D Kamenstein	552	Phillips Nizer LLP
Trudy Schlachter	011044	No	1S0293	Trudy Schlachter	915	Milberg LLP
Turbi Smilow	004239	No	1S0329	Turbi Smilow	2432	Pro Se Filing
Verna T. Smith (Trustee)	002439	No	1S0261	Verna T Smith Trustee U/A Did 5/25/95	826	Pro Se Filing
Viola Brown Trustee	002317	Yes	1B0078	Viola Brown Trustee U/T/D 12/29/88	3121	Milberg LLP
Viola Brown Trustee U/T/D 12/29/88	014254	Yes	1B0078	Viola Brown Trustee U/T/D 12/29/88	3121	Milberg LLP
WDG Associates Inc Retirement Trust	002036	No	1ZA538	WDG Associates Inc Retirement Trust	616	Phillips Nizer LLP
Weithorn/Casper Associates For Selected Holdings LLC	005626	Yes	1CM310	Weithorn/Casper Associates For Selected Holdings LLC	2098	Becker, Glynn, Melamed & Murfly LLP
Werner Foundation	014342	Yes	1EM223	Werner Foundation	2394	Bernfield, Dematteo & Bernfield, LLP
Willi Rechler Trust C/O Mitchell Rechler	004180	Yes	1R0082	The Willi Rechler Trust	2840	Becker & Pollakoff, LLP
William C. Fitzpatrick & Carol Fitzpatrick	002334	No	1F0121	William C Fitzpatrick & Carol Fitzpatrick U/T Wros	2492	Pro Se Filing
William Frederick Chais Issue Trust (No. 1)	013781	Yes	1C1038	William F Chais Issue Tst 1 Al Angel Trustee	2937	Sills Cummins & Gross P.S.
William M Woessner Family Tst	000450	Yes	1CM191	William M Woessner Family Tst Sheila A Woessner Fam Tst TIC	2766	Milberg LLP
William M. Woessner & Sheila Woessner	006829	Yes	1CM191	William M Woessner Family Tst Sheila A Woessner Fam Tst TIC	2766	Milberg LLP
Zipora Lazarus	010646	Yes	1ZB300	The Lazarus Schy Partnership	3597	Becker & Pollakoff, LLP
Zipora Lazarus	100185	Yes	1ZB300	The Lazarus Schy Partnership	3597	Becker & Pollakoff, LLP
Zipora Lazarus	010603	Yes	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP
Zipora Lazarus	100190	Yes	1ZB375	The Lazarus Investment Group	3598	Becker & Pollakoff, LLP

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Zipora Lazarus, Partner	100200	Yes	1ZB300	The Lazarus Schy Partnership	3597	Becker & Pollakoff, LLP

EXHIBIT 2
 Claimants With No Adjusted Inter-Account Transfers²

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
Ari Jaffe, Esquire	100051	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (36885)	1788	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100052	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (36885)	1788	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100053	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (36885)	1788	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100054	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (36885)	1788	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100070	No	1ZA354	Reaven Group	2094	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100424	No	1ZA354	Reaven Group	2094	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100051	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (36885)	2833	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100052	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (36885)	2833	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100053	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (36885)	2833	Kohrman Jackson & Krantz PLL
Ari Jaffe, Esquire	100054	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (36885)	2833	Kohrman Jackson & Krantz PLL
Audrey Koota & Gordon M. Koota	011248	Yes	1KW039	Audrey Koota & Gordon M Koota TIC	2334	Pro Se Filing
David And Irma Gross	000058	Yes	1CM404	David Gross And Irma Gross J/T Wros	1133	Akerman Senterfit LLP
David Gross	100434	Yes	1CM404	David Gross And Irma Gross J/T Wros	1133	Akerman Senterfit LLP

² This exhibit excludes claims and objections raising the Inter-Account Transfer Issue that have since been withdrawn.

Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
David Gross And Irma Gross	015790	Yes	1CM404	David Gross And Irma Gross J/T Wros	1133	Akerman Senterfitt LLP
Diana Melton Trust Dated 12-5-05	008648	No	1ZA699	Diana Melton Trust Dtd 12/5/05	874	Millberg LLP
Dr. Leon I. Fink, MD	001437	Yes	1ZA357	Dr Leon I Fink Md Retirement Trust	823	Pro Se Filing
Elisabeth Fishbein	013314	No	1ZA302	Elisabeth Fishbein	3822	Pryor Cashman LLP
G. Bruce Lifton	010799	Yes	1KW163	G Bruce Lifton D/B/A Gbl Management	2070	Becker & Poliakoff, LLP
Jan Bernstein And Ken Bernstein, JTWros	013294	No	1ZA804	Jan Bernstein And Kenneth Bernstein J/T Wros	3824	Pryor Cashman LLP
John Maccabee & Sherry Morse Maccabee Living Trust Dated 1/24/97	010609	Yes	1M0002	John Maccabee & Sherry Morse Maccabee	3550	Bernfeld, Dematteo & Bernfeld, LLP
Josef Mittelmann, President	100256	Yes	1KW357	The Mittelmann Family Foundation	2901	Pro Se Filing
Josef Mittelmann, President	100359	Yes	1KW357	The Mittelmann Family Foundation	2901	Pro Se Filing
Josef Mittelmann, President	100418	Yes	1KW357	The Mittelmann Family Foundation	2901	Pro Se Filing
Josef Mittelmann, President	100429	Yes	1KW357	The Mittelmann Family Foundation	2901	Pro Se Filing
Kara Fishbein Goldman And Steven Goldman J/T Wros	013307	Yes	1ZA303	Kara Fishbein Goldman And Steven Goldman J/T Wros	3825	Pryor Cashman LLP
Leon Fink	001436	Yes	1ZR030	Millennium Trust Company, LLC FBO Leon Fink (8908)	823	Pro Se Filing
Lynn Ott	003491	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (8885)	1788	Kohrman Jackson & Krantz PLL
Lynn Ott	003492	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (8885)	1788	Kohrman Jackson & Krantz PLL
Lynn Ott	003491	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (8885)	2833	Kohrman Jackson & Krantz PLL
Lynn Ott	003492	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (8885)	2833	Kohrman Jackson & Krantz PLL

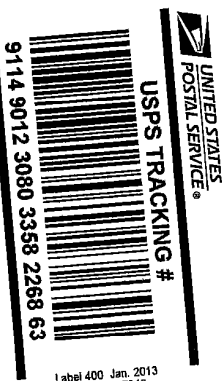
Claimant Name	Claim Number	Avoidance Action Defendant	BLMIS Account Number	BLMIS Account Holder Name	Objection to Determination Docket Number	Counsel Name or Pro Se
NTC & Co Norma Fishbein Ira	014308	Yes	1ZR248	Millennium Trust Company, LLC FBO Norma Fishbein (9885)	3820	Pryor Cashman LLP
NTC & Co Norma Fishbein Ira	014308	Yes	1ZR248	Millennium Trust Company, LLC FBO Norma Fishbein (9885)	3947	Pryor Cashman LLP
NTC & Co. Fbo Ken Macher (95448)	001831	Yes	1ZR049	Millennium Trust Company, LLC FBO Ken Machter (95448)	1046	Pro Se Filing
Optima Limited Partnership C/O Rachel Sha	011568	No	1FR063	Optima Limited Partnership C/O Maples & Calder Uglund Hise	3640	Moses & Singer LLP
Oxford Envelope Corporation	013402	No	1ZA865	Oxford Envelope Corporation C/O Hertz Herson & Co LLP	3827	Pryor Cashman LLP
Premero Investment Ltd.	004772	Yes	1FN097	Premero Investment Ltd II	986	Herrick, Feinstein LLP
Reaven Group, C/O Albert Reaven	004498	No	1ZA354	Reaven Group	2094	Kohman Jackson & Krantz PLL
Robert Rosenberg (Deceased)	003490	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (9885)	1788	Kohman Jackson & Krantz PLL
Robert Rosenberg (Deceased)	003493	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (9885)	1788	Kohman Jackson & Krantz PLL
Robert Rosenberg (Deceased)	003490	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (9885)	2833	Kohman Jackson & Krantz PLL
Robert Rosenberg (Deceased)	003493	No	1EM302	Millennium Trust Company, LLC FBO Robert Rosenberg (9885)	2833	Kohman Jackson & Krantz PLL
The Fishbein Family Interstitial Cystitis Rsch Fnd, C/O Robert Fishbein	013296	Yes	1ZB334	The Fishbein Family Interstitial Cystitis Rsch Fnd	3823	Pryor Cashman LLP
The Judie Lifton 1996 Revocable Trust	010284	Yes	1KW165	Judie B Lifton 1996 Revocable Trust	2071	Becker & Poliakoff, LLP
The Mittlemann Family Foundation	003370	Yes	1KW357	The Mittlemann Family Foundation	2901	Pro Se Filing
Urte Franitza-Goldstein & Karen Fenner	000862	Yes	1ZB315	Franitza Family Limited Partnership	3241	Bernfield, Demailio & Bernfield, LLP
Weithorn/Casper Associates For Selected Holdings LLC	005627	Yes	1CM396	Weithorn/Casper Associate For Selected Holdings LLC	2098	Becker, Glynn, Melamed & Murthy LLP

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